

# On locally o-minimal structures

**Hiroshi Tanaka**

**joint works with**

**Tomohiro Kawakami, Kota Takeuchi and Akito Tsuboi**

Anan National College of Technology

**RIMS Model Theory Meeting**

**December 1, 2010**

**RIMS**

# Outline

- 1 local o-minimality
- 2 uniform local o-minimality and strong local o-minimality
- 3 local monotonicity
- 4 local cell decomposition property

Let  $L$  be a language containing  $<$ .

Let  $\mathcal{M} = (M, <, \dots)$  be an  $L$ -structure expanding a dense linear ordering  $<$ .

## Definition 1.1

- $A \subseteq M$  is said to be **convex** in  $\mathcal{M}$  if for any  $a, b \in A$ , we have  $(a, b) \subseteq A$ .
- If additionally  $\sup A, \inf A \in M \cup \{-\infty, \infty\}$ , then  $A$  is called an **interval** in  $\mathcal{M}$ .

## Example 1.2

Let  $\mathcal{Q}_1 = (\mathbb{Q}, <)$ .

- $(-1, 1)$ ,  $[-1, 1]$ ,  $[-1, 1)$ ,  $(-1, 1]$ ,  $\{1\}$  are intervals.
- $(-\sqrt{2}, \sqrt{2}) \cap \mathbb{Q}$  is not an interval but a convex set.

## Example 1.3

Let  $\mathcal{Q}_2 = (\mathbb{Q} \times \mathbb{Q}, <)$ , where  $<$  is the lexicographic ordering.

- $\{0\} \times \mathbb{Q}$  is not an interval but a convex set.

Let  $\mathcal{M} = (M, <, \dots)$  be an  $L$ -structure expanding a dense linear ordering  $<$ .

## Definition 1.4

- $\mathcal{M}$  is said to be **o-minimal** if any definable subset of  $M$  is a finite union of intervals.
- $\mathcal{M}$  is said to be **weakly o-minimal** if any definable subset of  $M$  is a finite union of convex sets.

The notion of local o-minimality and strongly local o-minimality was introduced by C. Toffalori and K. Vozoris.

## Definition 1.5

- $\mathcal{M}$  is said to be **locally o-minimal** if for any  $a \in M$  and any definable  $X \subseteq M$ , there is an open interval  $I \ni a$  such that  $X \cap I$  is a finite union of intervals.

The notion of local o-minimality and strongly local o-minimality was introduced by C. Toffalori and K. Vozoris.

## Definition 1.5

- $\mathcal{M}$  is said to be **locally o-minimal** if for any  $a \in M$  and any definable  $X \subseteq M$ , there is an open interval  $I \ni a$  such that  $X \cap I$  is a finite union of intervals.
- $\mathcal{M}$  is said to be **strongly locally o-minimal** if for any  $a \in M$ , there is an open interval  $I \ni a$  such that for any definable  $X \subseteq M$ ,  $X \cap I$  is a finite union of intervals.

The notion of local o-minimality and strongly local o-minimality was introduced by C. Toffalori and K. Vozoris.

## Definition 1.5

- $\mathcal{M}$  is said to be **locally o-minimal** if for any  $a \in M$  and any definable  $X \subseteq M$ , there is an open interval  $I \ni a$  such that  $X \cap I$  is a finite union of intervals.
- $\mathcal{M}$  is said to be **strongly locally o-minimal** if for any  $a \in M$ , there is an open interval  $I \ni a$  such that for any definable  $X \subseteq M$ ,  $X \cap I$  is a finite union of intervals.
- $\mathcal{M}$  is said to be **uniformly locally o-minimal** if for any  $a \in M$  and any formula  $\varphi(x, y) \in L$ , there is an open interval  $I \ni a$  such that  $\varphi(M, b) \cap I$  is a finite union of intervals for any  $b \in M$ .



A typical example of locally o-minimal structures is the following structure.

**Example 1.6 (Marker and Steinhorn)**

$\mathcal{R} = (\mathbb{R}, <, +, \sin(x))$  is strongly locally o-minimal.

**In locally o-minimal structures, the following are known.**

**Proposition 1.7 (Toffalori and Vozoris)**

*Any weakly o-minimal structure is locally o-minimal.*

**Proposition 1.8 (Toffalori and Vozoris)**

*Local o-minimality is preserved under elementary equivalence.*

**Remark 1.9 (Toffalori and Vozoris)**

Strong local o-minimality is not preserved under elementary equivalence.

## Uniformly locally o-minimal structures

Proposition 2.1 (Kawakami, Takeuchi, Tsuboi, and T.)

*Let  $\mathcal{M}$  be a uniformly locally o-minimal structure. Suppose that  $\mathcal{M}$  is  $\omega$ -saturated. Then,  $\mathcal{M}$  is strongly locally o-minimal.*

## Uniformly locally o-minimal structures

### Proposition 2.1 (Kawakami, Takeuchi, Tsuboi, and T.)

Let  $\mathcal{M}$  be a uniformly locally o-minimal structure. Suppose that  $\mathcal{M}$  is  $\omega$ -saturated. Then,  $\mathcal{M}$  is strongly locally o-minimal.

### Proof.

- Let  $a \in M$  and  $\varphi(x, y) \in L$ .
- By the uniformity of  $\mathcal{M}$ , there is an open interval  $I \ni a$  such that for any  $b \in M$ , we can take  $n_b \in \mathbb{N}$  so that  $\varphi(M, b) \cap I$  is a union of  $n_b$  many intervals.
- By the saturation of  $\mathcal{M}$ , the set  $\{n_b : b \in M\}$  is uniformly bounded, denoted by  $n_\varphi \in \mathbb{N}$ .

## Proof.

- Let  $\theta_\varphi(u, v) \equiv$  for any  $z \in M$ , the set  $\{x \in (u, v) : \mathcal{M} \models \varphi(x, z)\}$  is a union of at most  $n_\varphi$  many intervals.
- Let  $\Gamma(u, v) \equiv \{u < a < v\} \cup \{\theta_\varphi(u, v) : \varphi \in L\}$ .

## Proof.

- Let  $\theta_\varphi(u, v) \equiv$  for any  $z \in M$ , the set  $\{x \in (u, v) : \mathcal{M} \models \varphi(x, z)\}$  is a union of at most  $n_\varphi$  many intervals.
- Let  $\Gamma(u, v) \equiv \{u < a < v\} \cup \{\theta_\varphi(u, v) : \varphi \in L\}$ .
- By compactness,  $\Gamma(u, v)$  is consistent.
- By the saturation of  $\mathcal{M}$ , there are  $c, d \in M$  such that  $\mathcal{M} \models \Gamma(c, d)$ .
- The open interval  $(c, d)$  witnesses to the strong local o-minimality of  $\mathcal{M}$ .



# Uniformly locally o-minimal structures

We show that there is an  $\omega$ -saturated locally o-minimal structure that is not uniformly locally o-minimal.

## Example 2.2

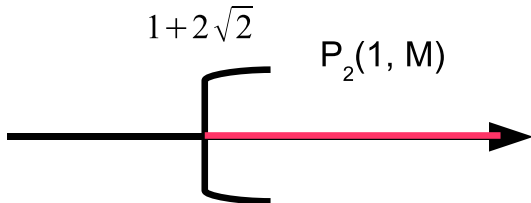
Let  $L = \{<, P_q\}_{q \in \mathbb{Q}^+}$  and  $M := (\mathbb{Q}, <, P_q)_{q \in \mathbb{Q}^+}$ .

Here,  $P_q(a, b) \iff a + \sqrt{2} \cdot q \leq b$  in  $\mathbb{R}$ .

Let  $M^* \succ M$  be  $\omega$ -saturated.

Then,  $M^*$  is locally o-minimal but not uniformly locally o-minimal.

For example, when  $a = 1, q = 2$ ,



## Example 2.3

Let  $L = \{<, P_q\}_{q \in \mathbb{Q}^+}$  and  $M := (\mathbb{Q}, <, P_q)_{q \in \mathbb{Q}^+}$ .

Here,  $P_q(a, b) \iff a + \sqrt{2} \cdot q \leq b$  in  $\mathbb{R}$ .



## Example 2.3

Let  $L = \{<, P_q\}_{q \in \mathbb{Q}^+}$  and  $M := (\mathbb{Q}, <, P_q)_{q \in \mathbb{Q}^+}$ .

Here,  $P_q(a, b) \iff a + \sqrt{2} \cdot q \leq b$  in  $\mathbb{R}$ .

**Proof.**

**Th( $M$ ) admits elimination of quantifiers.**

**So,  $M$  is weakly o-minimal and hence locally o-minimal.** □

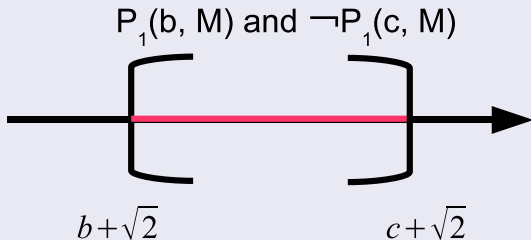
# Uniformly locally o-minimal structures

Proof.

However,  $M$  is not uniformly locally o-minimal.

$\therefore$ ) For any open interval  $I = (b, c) \ni 0$ ,

we have  $P_1(b, M) \wedge P_1(c, M) \neq \emptyset$ .



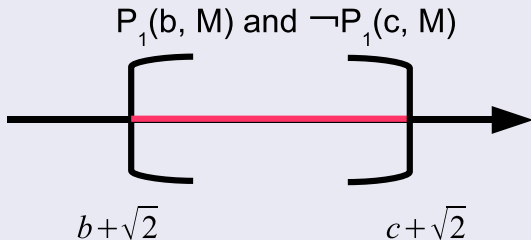
# Uniformly locally o-minimal structures

Proof.

However,  $M$  is not uniformly locally o-minimal.

$\therefore$ ) For any open interval  $I = (b, c) \ni 0$ ,

we have  $P_1(b, M) \wedge P_1(c, M) \neq \emptyset$ .

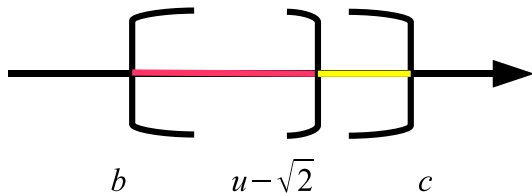
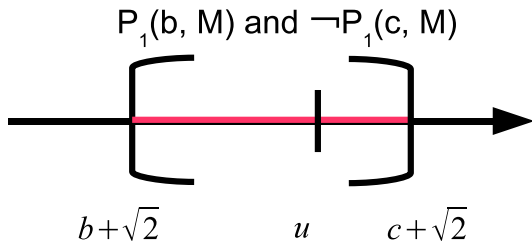


We take  $u \in M$  such that  $P_1(b, u) \wedge P_1(c, u)$ .

Then, the set  $P_1(M, u)$  divides into two convexes  $C_1$  and  $C_2$ .

Neither  $C_1$  nor  $C_2$  are intervals. □

# Uniformly locally o-minimal structures



## Definition 3.1

- A local o-minimal structure  $\mathcal{M} = (M, <, \dots)$  have **local monotonicity** if for any definable  $X \subseteq M$ , any definable  $f : X \rightarrow M$  and any  $a \in M$  there are an open interval  $I \ni a$  and intervals  $X_0, X_1, \dots, X_n$  such that any  $f|X_i$  is constant, strictly increasing, or strictly decreasing.
- If additionally any  $f|X_i$  is continuous, we have **local monotonicity with continuity**.

**In general, locally o-minimal structures do not have local monotonicity. However, the following holds.**

**Fact 1 (Toffalori and Vozoris)**

*Any strongly locally o-minimal structure satisfies local monotonicity.*

## Local monotonicity

In general, locally o-minimal structures do not have local monotonicity. However, the following holds.

### Fact 1 (Toffalori and Vozoris)

*Any strongly locally o-minimal structure satisfies local monotonicity.*

### Fact 2 (Toffalori and Vozoris)

*Any locally o-minimal expansion  $(\mathbb{R}, <, \dots)$  of  $(\mathbb{R}, <)$  is strongly locally o-minimal.*

### Fact 3 (Kawakami and T.)

*Any locally o-minimal expansion  $(\mathbb{R}, <, \dots)$  of  $(\mathbb{R}, <)$  satisfies local monotonicity with continuity.*

In general, a strongly locally o-minimal structure does not satisfy local monotonicity **with continuity**.

## Example 3.2

$$M = (\mathbb{Q} \times \mathbb{Q}, <, \mathbf{0}, f(x), E(x, y)).$$

Here,  $<$  is the lexicographic ordering,  $\mathbf{0} := (0, 0)$ ,

and for any  $(p, q), (p_1, q_1), (p_2, q_2) \in \mathbb{Q} \times \mathbb{Q}$ ,

$$f((p, q)) := (q, 0) \text{ and } E((p_1, q_1), (p_2, q_2)) \iff p_1 = p_2.$$



In general, a strongly locally o-minimal structure does not satisfy local monotonicity **with continuity**.

### Example 3.2

$M = (\mathbb{Q} \times \mathbb{Q}, <, \mathbf{0}, f(x), E(x, y))$ .

Here,  $<$  is the lexicographic ordering,  $\mathbf{0} := (\mathbf{0}, \mathbf{0})$ ,

and for any  $(p, q), (p_1, q_1), (p_2, q_2) \in \mathbb{Q} \times \mathbb{Q}$ ,

$f((p, q)) := (q, \mathbf{0})$  and  $E((p_1, q_1), (p_2, q_2)) \iff p_1 = p_2$ .

Then, for  $x = (x_1, x_2), y = (y_1, y_2) \in M$ ,

$$\begin{aligned}x < y &\iff x_1 < y_1 && \text{or } (x_1 = y_1 \wedge x_2 < y_2) \\ &\iff (\neg E(x, y) \wedge x < y) \text{ or } (E(x, y) \wedge f(x) < f(y)).\end{aligned}$$

Hence,  $\text{Th}(M)$  admits elimination of quantifiers.

## Example 3.3

$M = (\mathbb{Q} \times \mathbb{Q}, <, \mathbf{0}, f(x), E(x, y)).$

$f((p, q)) := (q, \mathbf{0})$  and  $E((p_1, q_1), (p_2, q_2)) \iff p_1 = p_2.$

Let  $a = (a_1, a_2), b = (b_1, b_2) \in M.$

- The set  $\{x \in M : f(x) = (a_1, \mathbf{0})\} = \mathbb{Q} \times \{a_1\}$  is discrete.
- The set  $\{x \in M : E(x, a)\} = \{a_1\} \times \mathbb{Q}$  is convex.

Hence,  $M$  is strongly locally o-minimal.

## Example 3.3

$M = (\mathbb{Q} \times \mathbb{Q}, <, \mathbf{0}, f(x), E(x, y))$ .

$f((p, q)) := (q, \mathbf{0})$  and  $E((p_1, q_1), (p_2, q_2)) \iff p_1 = p_2$ .

Let  $a = (a_1, a_2), b = (b_1, b_2) \in M$ .

- The set  $\{x \in M : f(x) = (a_1, \mathbf{0})\} = \mathbb{Q} \times \{a_1\}$  is discrete.
- The set  $\{x \in M : E(x, a)\} = \{a_1\} \times \mathbb{Q}$  is convex.

Hence,  $M$  is strongly locally o-minimal.

However, for open interval  $(a, b)$ ,

the set  $f((a, b)) = (a_1, b_1) \times \{\mathbf{0}\}$  is discrete.

Therefore, for any  $c \in (a, b)$ ,  $f(c)$  is not continuous.

$M$  does not satisfy local monotonicity with continuity.

## Local cell decomposition property

**For every  $n \in \mathbb{N}$ , we inductively introduce cells in  $M^n$ .**

**The definition of cells in locally o-minimal structures is the same as that of cells in o-minimal structures.**

For every  $n \in \mathbb{N}$ , we inductively introduce cells in  $M^n$ .

The definition of cells in locally o-minimal structures is the same as that of cells in o-minimal structures.

## Definition 4.1

Let  $C \subseteq M$ .

- $C = \{a\}$ , where  $a \in M$ , is called a **0-cell** and  $\dim C := 0$
- If  $C$  is an open interval, the  $C$  is called a **1-cell** and  $\dim C := 1$ .

# Local cell decomposition property

## Definition 4.2

Suppose that  $C \subseteq M^n$  is a cell with  $\dim C = k$ .

- Let  $f : C \rightarrow M$  be definable and continuous. Then  $C_1 := \text{graph}(f) := \{(x, f(x)) : x \in C\}$  is a  $k$ -cell in  $M^{n+1}$  and we put  $\dim C_1 = k$ .
- Let  $g, h$  be definable continuous functions from  $C$  to  $M \cup \{\pm\infty\}$  with  $g < h$  on  $C$ . Then  $C_2 := (g, h)_C := \{(x, y) : x \in C, g(x) < y < h(x)\}$  is a  $(k + 1)$ -cell in  $M^{n+1}$  and we put  $\dim C_2 = k + 1$ .



## Definition 4.3

- 1  $\mathcal{M}$  is said to have the **cell decomposition property** if for any  $n \in \mathbb{N}$  and any definable  $X \subseteq M^n$ , there is a finite partition of  $X$  into cells.
- 2  $\mathcal{M}$  is said to have the **local cell decomposition property** if for any  $n \in \mathbb{N}$ , any  $a \in M^n$ , and any definable  $X \subseteq M^n$ , there is an open box  $B \subseteq M^n$  containing  $a$  and a finite partition of  $X \cap B$  into cells.

## Theorem 4.4 (Knight, Pillay and Steinhorn)

*Any o-minimal structure has the cell decomposition property.*

## Theorem 4.5 (Kawakami and T.)

*Let  $\mathcal{R} = (\mathbb{R}, <, \dots)$  be a locally o-minimal expansion of  $(\mathbb{R}, <)$ .*

- 1  $\mathcal{R}$  has the local cell decomposition property.*
- 2 Let  $n \in \mathbb{N}$ . Let  $X \subseteq \mathbb{R}^n$  be a cell,  $f : X \rightarrow \mathbb{R}$  a definable function and  $a \in \mathbb{R}^n$ . Then, there exists an open box  $B \subseteq \mathbb{R}^n$  containing  $a$  and a finite partition  $P$  of  $X \cap B$  into cells such that for any  $Y \in P$ ,  $f|_Y$  is continuous.*



**Actually, Theorem 4.5(1) holds in strongly locally o-minimal structures.**

**Proposition 4.6 (Kawakami, Takeuchi, Tsuboi, and T.)**

*Any strongly locally o-minimal structure  $\mathcal{M}$  has the local cell decomposition property.*

To show Proposition 4.6, we first give a characterization of strong local o-minimality.

## Definition 4.7

Let  $M$  be an  $L$ -structure and  $A \subseteq M$ .

- 1  $\text{Def}^n(A, M) := \{D \cap A^n : D \subseteq M^n \text{ is } M\text{-definable}\}.$

$$\text{Def}(A, M) := \bigcup_{n \in \omega} \text{Def}^n(A, M).$$

- 2 The structure  $A_{\text{def}} := (A, (X)_{X \in \text{Def}(A, M)}).$

To show Proposition 4.6, we first give a characterization of strong local o-minimality.

## Definition 4.7

Let  $M$  be an  $L$ -structure and  $A \subseteq M$ .

- ①  $\text{Def}^n(A, M) := \{D \cap A^n : D \subseteq M^n \text{ is } M\text{-definable}\}.$

$$\text{Def}(A, M) := \bigcup_{n \in \omega} \text{Def}^n(A, M).$$

- ② The structure  $A_{\text{def}} := (A, (X)_{X \in \text{Def}(A, M)}).$

## Remark 4.8

If  $A \subseteq M$  is definable, then  $\text{Def}(A_{\text{def}}) = \text{Def}(A, M),$

that is, for any  $X \subseteq A,$

$X$  is definable in  $A_{\text{def}} \iff X$  is definable in  $M.$

**Tsuboi gave the following characterization of strong local o-minimality at Model theory summer school 2010 (August).**

## Proposition 4.9

The following are equivalent.

- 1  $M$  is strongly locally o-minimal.
- 2 For any  $a_1, \dots, a_n \in M$ , there are intervals  $I_1 = (b_1, c_1], \dots, I_n = (b_n, c_n]$  with  $a_i \in (b_i, c_i)$  such that, by putting  $I := \bigcup_{i=1, \dots, n} I_i$ ,  $I_{\text{def}}$  is o-minimal.

Proposition 4.10 (Kawakami, Takeuchi, Tsuboi, and T.)

*Any strongly locally o-minimal structure  $\mathcal{M}$  has the local cell decomposition property.*

### Proof.

Let  $a = (a_1, a_2, \dots, a_n) \in M^n$  and  $X \subseteq M^n$  definable.

By the strong local o-minimality of  $M$ ,

there are intervals  $I_1 = (b_1, c_1]$ ,  $\dots$ ,  $I_n = (b_n, c_n]$  with  $a_i \in (b_i, c_i)$  such that  $I_{\text{def}} := (I_1 \cup I_2 \cup \dots \cup I_n)_{\text{def}}$  is o-minimal.

## Proof.

Let  $a = (a_1, a_2, \dots, a_n) \in M^n$  and  $X \subseteq M^n$  definable.

By the strong local o-minimality of  $M$ ,

there are intervals  $I_1 = (b_1, c_1]$ ,  $\dots$ ,  $I_n = (b_n, c_n]$  with  $a_i \in (b_i, c_i)$  such that  $I_{\text{def}} := (I_1 \cup I_2 \cup \dots \cup I_n)_{\text{def}}$  is o-minimal.

We put  $B := (b_1, c_1) \times \dots \times (b_n, c_n) (\subseteq I^n)$ . Then,  $(a_1, \dots, a_n) \in B$ , and  $B$  is definable in  $I_{\text{def}}$  because  $B$  is definable in  $M$ .

### Proof.

Let  $a = (a_1, a_2, \dots, a_n) \in M^n$  and  $X \subseteq M^n$  definable.

By the strong local o-minimality of  $M$ ,

there are intervals  $I_1 = (b_1, c_1], \dots, I_n = (b_n, c_n]$  with  $a_i \in (b_i, c_i)$  such that  $I_{\text{def}} := (I_1 \cup I_2 \cup \dots \cup I_n)_{\text{def}}$  is o-minimal.

We put  $B := (b_1, c_1) \times \dots \times (b_n, c_n) (\subseteq I^n)$ . Then,  $(a_1, \dots, a_n) \in B$ , and  $B$  is definable in  $I_{\text{def}}$  because  $B$  is definable in  $M$ .

Hence, by the o-minimality of  $I_{\text{def}}$ ,

there is a finite partition  $\mathcal{P}$  of  $X \cap B$  into cells in  $I_{\text{def}}$ .

Since  $B$  is an open box, any  $Y \in \mathcal{P}$  is also a cell in  $M$ .

This proposition is proved. □



# A diagram

