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The third term in lens surgery polynomial.

§ 1. Introduction.

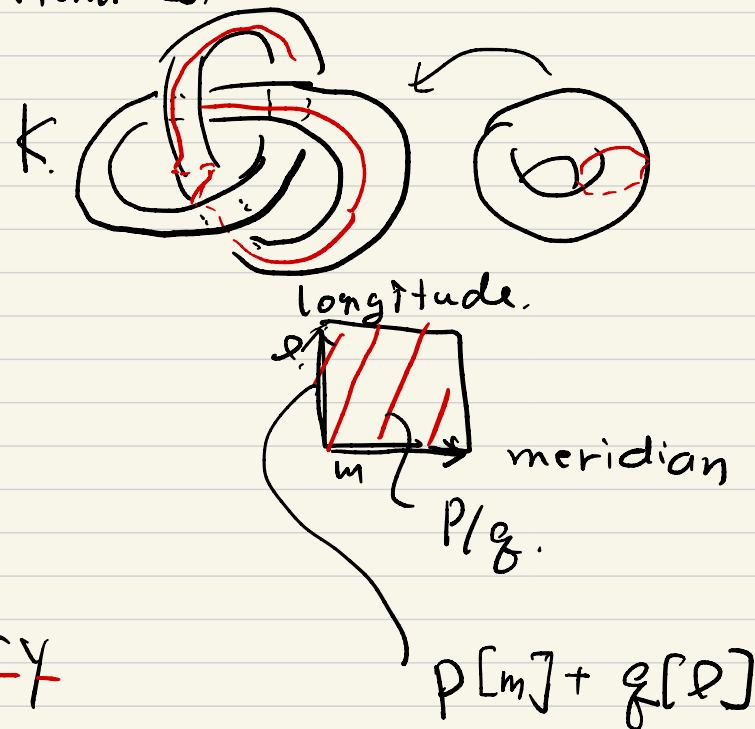
$K \subset S^3$: a knot

Def.

$S^3_{\underbrace{p/q}_{\text{slope}}}(K)$: p/q -surgery along K .

It is called Dehn surgery

$S^3_p(K)$ is called an integral surgery.



Ex & Def

$$S^3_{\underbrace{p/q}_{\text{unknot}}}(U) = L(p, q) \quad (\text{oriented})$$

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Basic results

Fact $S^3_{p/q}(K) = S^3 \Rightarrow K = U$ (KMOS)

Fact $S^3_0(K) = S^2 \times S^1 \Rightarrow K = U$ (Gabai)

Def. A knot $K \subset S^3$ satisfies $S^3_{p/q}(K) = L(p, q)$ ^{positive.}
then K is called a lens space knot.

Def If a positive Dehn surgery along $K \subset S^3$ is
an L-space, then K is called an L-space knot

$HF^*(S^3) = HF^*(\cdot, \emptyset)$ any Splice str.

ex: lens space.

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Conj: $S_p^3(K) = L(p, q) \Rightarrow K$ is a Berge's Knot. (Gordon - Berge)
 (The cases with $p/q \notin \mathbb{Z}$ are classified.)

Fact ^(Greenleaves) $S_p^3(K) = L(p, q) \Rightarrow \exists$ a Berge's knot $S_p^3(B) = L(p, q)$.

Necessary conditions

Fact 1 (Ozsvath-Szabó)

Seifert genus $S_p^3(K) = L(p, q)$

Any lens space knot has $2g(K) + 1 \leq p$

Fact 2 (Ni)

Any lens space knot is fibered.

Fact 3 (Ozsvath-Szabó)

Any L-space K

Alexander poly.

$$\Delta_K(t) = (-1)^m + \sum_{j=1}^m (-1)^{j-1} (t^{n_j} + t^{-n_j})$$

$$0 < n_1 < n_2 < \dots < n_m = \deg(\Delta_K)$$

For example, $t - 1 + t^{-1}$, $t^5 - t^4 + t^2 - t + 1 - t^{-1} + t^{-2} - t^{-4} + t^{-5}$.

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Let K be a lens space knot.

The Alexander polynomial $\Delta_K(t)$
is called a lens surgery polynomial.

Main

$$(-1)^m + \sum_{j=1}^m (-1)^{j-1} (t^{n_j} + t^{-n_j})$$

$(0 < n_1 < n_2 < \dots < n_m = d)$

Problem: Characterize lens space polynomial.

Fact (T., Hedden et al) For any lens surgery polynomial $n_{m-1} = n_m - 1$
i.e. $\Delta_K = t^d - t^{d-1} + \dots$

Question (Teragaito) For any lens space polynomial $n_{m-2} = n_m - 2$
then is $\Delta_K = t^d - t^{d-1} + t^{d-2} - \dots - t^{d+1} + t^{-d}$
 $= \Delta_{T(2, 2d+1)}$?

Here, $T(2, 2d+1)$: $(2, 2d+1)$ -torus knot.

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Thm. (T.) Tera gaito's question is true.

Fact (Caudell) " is true with changemaker vectors.

(alternative proof)

Cor 1 If a lens space knot K has $\Delta_K \neq \Delta_{T(2, 2d+1)}$ for some d ,
then, $\Delta_K = t^d - t^{d-1} + \underbrace{0}_{\uparrow \text{the coefficient is zero!}} \cdot t^{d-2} + \dots$

Cor 2. If a lens space knot K has $\Delta_K = t^d - t^{d-1} + t^{d-2} - \dots$
then K is isotopic to $T(2, 2d+1)$

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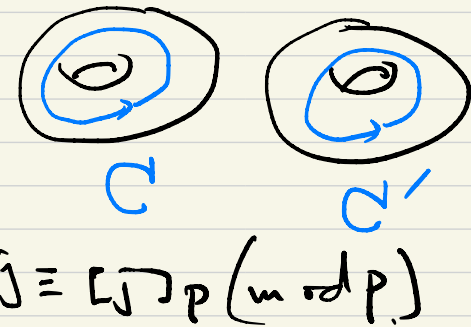
$$\Delta_K = (-1)^m + \sum_{j=-1}^m (-1)^{j-1} (t^{n_j} + t^{-n_j}) = \sum_{j=-d}^d a_j t^j$$

$$-\frac{p}{2} \leq j \leq \frac{p}{2}$$

$$\bar{a}_j = \begin{cases} a_j & -d < j \leq d \\ 0 & \text{o.w.} \end{cases}$$

$$\left[\begin{array}{l} S_p^3(K) = L(p, g) \supset \tilde{K} \rightsquigarrow [\tilde{K}] = K[C] \\ \left\{ \begin{array}{l} K^2 \equiv g \pmod{p} \\ k_2 = [Lk^{-1}]_p \quad Kk_2 \equiv e \pmod{p} \\ Kk_2 = e + mp \quad m \in \mathbb{Z} \quad e = \pm 1 \end{array} \right. \\ C = (K-1)(p+1-K)/2 \\ (p, k) : \text{parameter (of Lens space surgery.)} \end{array} \right.$$

generator



general i

$$\bar{a}_j = \bar{a}_{[j]_p}$$

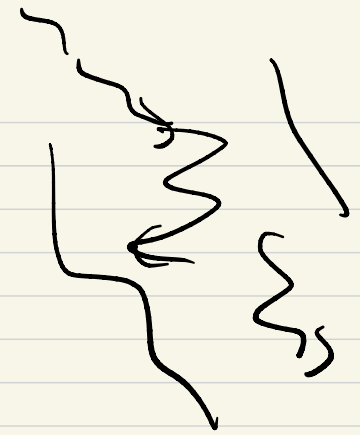
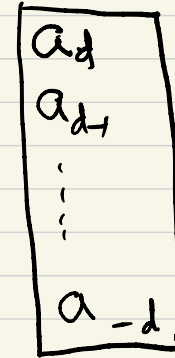
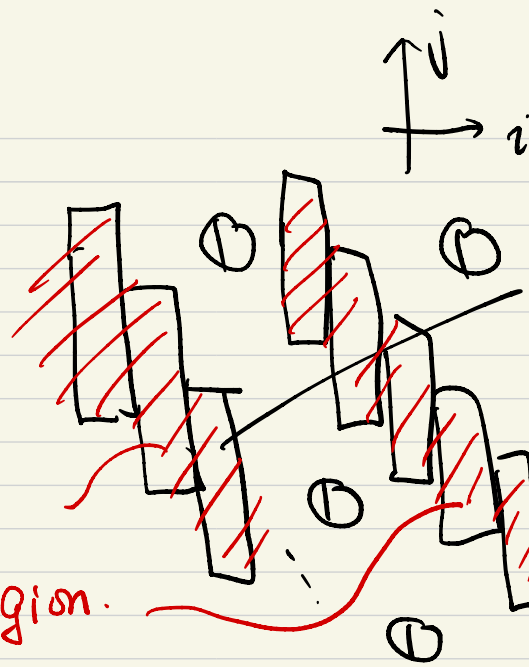
$$-\frac{p}{2} \leq [j]_p \leq \frac{p}{2}$$

$$j \equiv [j]_p \pmod{p}$$

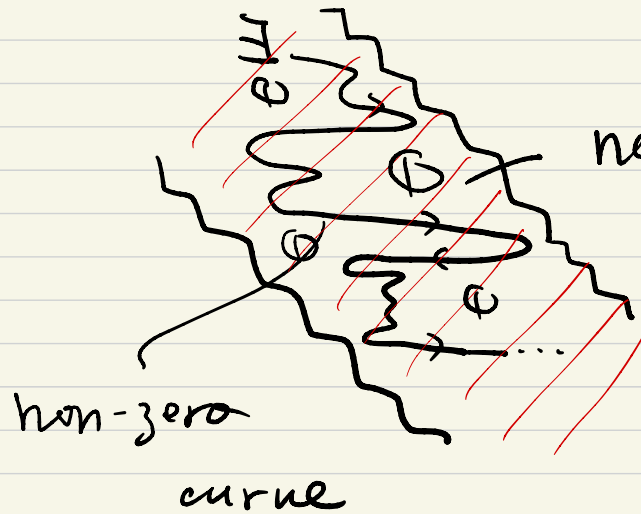
$$\underline{A_{ij}} = \bar{a}_{k_2(i+jek-c)} = \bar{a}_{k_2i+j-k_2c}$$

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Fact A_{ij}



non-zero region.



non-zero region.

$$\begin{aligned} &\rightarrow \equiv \begin{pmatrix} 1 & 1 & 1 & 1 \\ - & - & - & - \end{pmatrix} \\ &\rightarrow \equiv \begin{pmatrix} 1 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{pmatrix} \\ &\rightarrow \equiv \begin{pmatrix} 0 & 0 & -1 & -1 \\ -1 & -1 & 0 & 0 \end{pmatrix} \end{aligned}$$

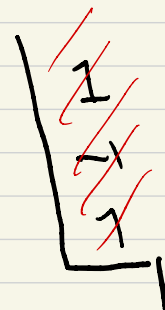
- non-zero curve passes all non-zero coefficients of A_{ij}
- Any nonzero curve is included in a non-zero region.
- one component is a region
- decreasing with respect to j -coord

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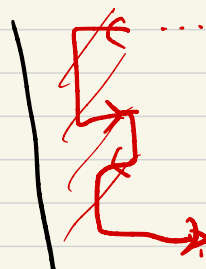
Proof of Mai thm

Assume that $\Delta_K = t^d - t^{d-1} + t^{d-2} - \dots$

$\therefore$



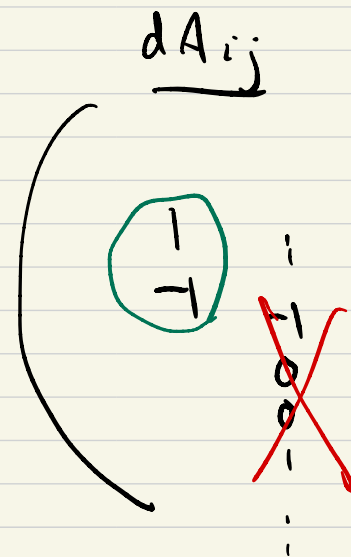
$\equiv$



(I)



(II)



Def

$$dA_{ij} = A_{ij} - A_{i-j}$$

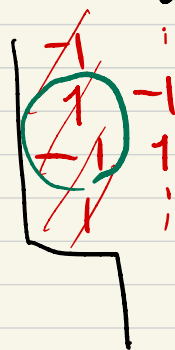
Lemma.

If dA_{ij} has $\begin{pmatrix} 1 \\ -1 \end{pmatrix}$

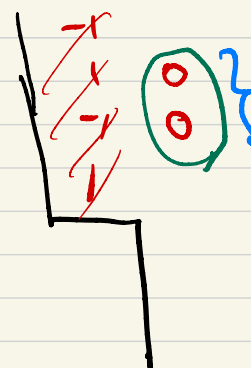
in $\mathbb{R}^2$, then the # of

vertical consecutive 0's
is at least one

dA_{ij}



dA_{ij}



← contradiction

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A_{ij}

If $a^2 + b^2 = 0$, then

Hence $a^2 + b^2 > 0$.

A_{ij}

Included in the right next nonzero region.

$$\therefore p = 2k_2 + 1$$

or

$$p = 2k_2 + 2$$

$$k_2^2 - 1 = \frac{p}{2} (k_2 - 1) = p \frac{k_2 - 1}{2} \equiv 0 \pmod{4}$$

by KMOS $\Rightarrow k_2 = 1$

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$$p = 2k_2 + 1 \Rightarrow 2k_2 \equiv -1 \pmod{p}$$

$$\therefore k = 2.$$

Fact (T.) K : lens space knot in Y . ($2\pi S^3$)

$$Y_p(K) = L(p, q) \quad (p, k)$$

The following conditions are equivalent.

(i) $k=2$

(ii) $k_2 = 2g+1, 2g$

(iii) $\Delta_K = \Delta_{T(2, 2g+1)}$

(iv) (p, k) is realized by $T(2, 2g+1)$

$$\Leftarrow S^3_p(T(2, 2g+1)) = L(p, q)$$

with parameter
(p, k)

$\therefore$ by using (i) $\Rightarrow$ (iii)

$$\Delta_K = \Delta_{T(2, 2g+1)} //$$

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Cor 1 If a lens space knot K has $\Delta_K \neq \Delta_{T(2, 2d+1)}$
for some d .
then, $\Delta_K = t^d - t^{d-1} + 0 \cdot t^{d-2} + \dots$

proof · Equivalent to Thm.

Cor 2. If a lens space knot K has $\Delta_K = t^d - t^{d-1} + t^{d-2} - \dots$
then K is isotopic to $T(2, 2d+1)$

proof. If $S^3_p(K) = L(p, 4)$. Then K is isotopic
to $T(2, 2d+1)$. (K. Baker)

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$K_{p,k}$: parameter (p, k)

↑ the dual of simple $(1,1)$ -knot in $L(p, k^2)$

$$\begin{array}{c}
 \text{Diagram 1} \quad \perp \quad \text{Diagram 2} \\
 \text{Diagram 1: A knot with a red arc labeled } \tilde{K}_{p,k} \\
 \text{Diagram 2: A knot with a red arc labeled } \tilde{K}_{p,k} \text{ and a red line extending from it}
 \end{array}
 = L(p, k^2) \xrightarrow{\text{Surgery}} Y_{p,k} \supset K_{p,k}$$

$\tilde{K}_{p,k}$

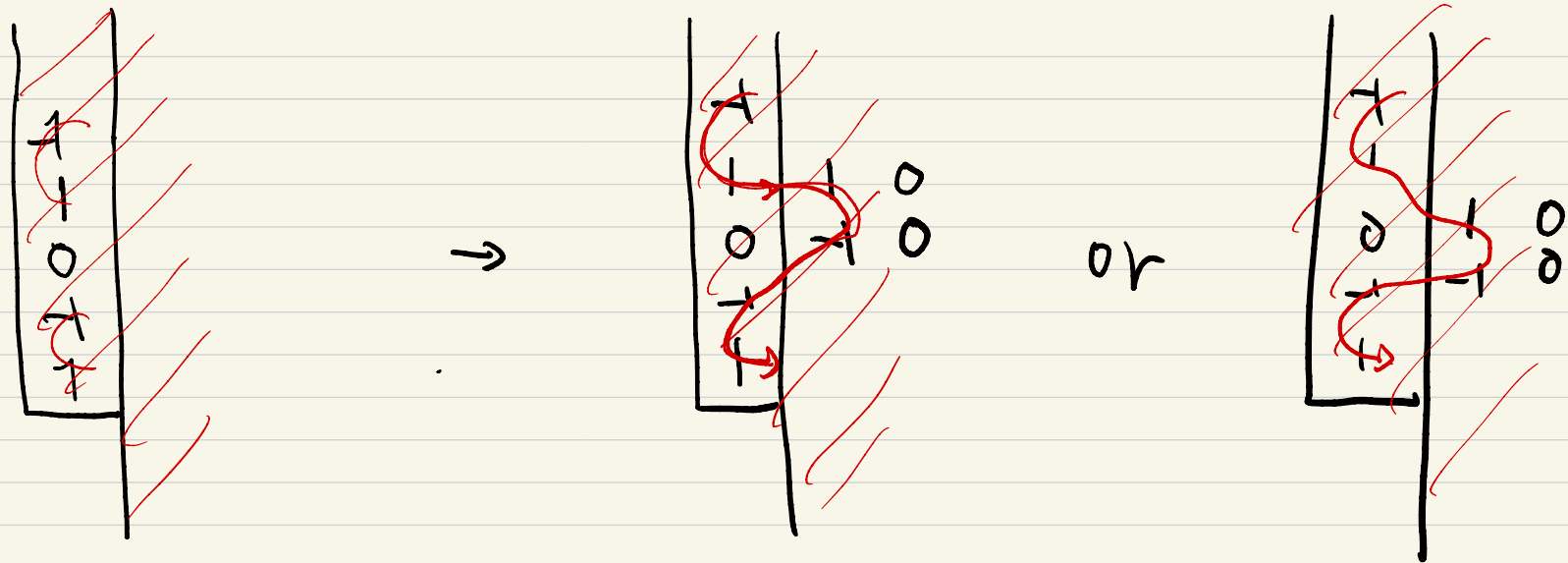
$\mathbb{Z}H\mathbb{S}^3$

In the case of $Y_{p,k} = S^3$, $K_{p,k}$: Berge's knot
(Berge, Greene)

Question 1: $K = K_{p,k}$. If $\Delta_K = t^d - t^{d-1} + t^{d-2} - \dots$
, then, $K = K_{p,2} = T(2, 2d+1)$?

Problem Classify. lens space knot with
lens surgery. $\Delta = t^d - t^{d-1} + t^{d-3} - t^{d-4} + \dots$

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$$\Delta = t^d - t^{d-1} + t^{d-3} - t^{d-4} + \dots - t^{d-k_2-1} + t^{d-k_2-2} + \dots$$

$$\Rightarrow 2k_2 < p < 4k_2$$

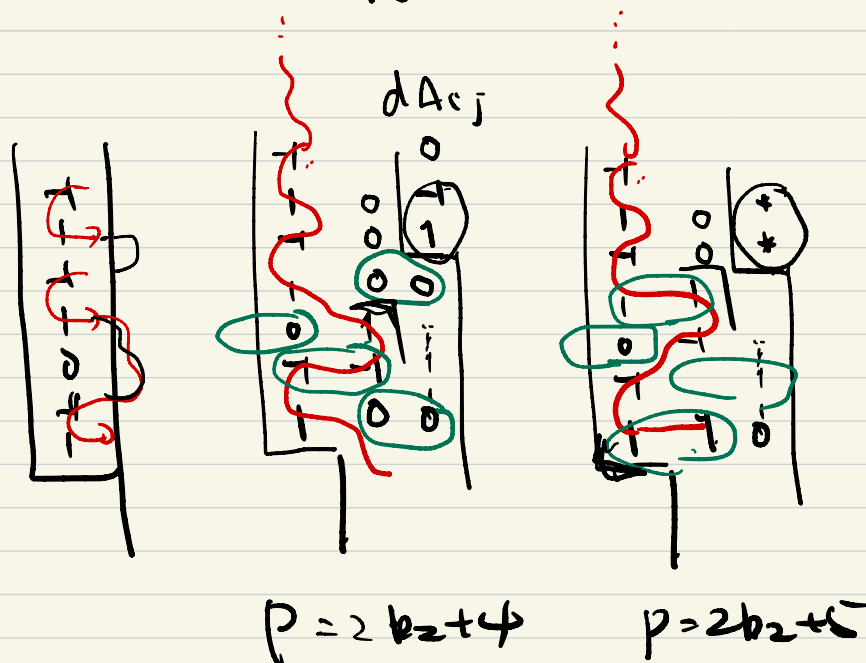
Prop $\Delta_K = t^d - t^{d-1} + t^{d-3} - t^{d-4} + t^{d-5} - t^{d-6} + \dots$

$$\Rightarrow \Delta_K = t^d - t^{d-1} + t^{d-3} - t^{d-4} + \dots - t^{d+4} + t^{d+3} - t^{d+1} - t^d$$

$$\underbrace{1 \quad -1 \quad 0 \quad 1 \quad -1 \quad \dots \quad -1 \quad 1 \quad 0 \quad -1 \quad 1}_{=0}$$

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$$\therefore \Delta_K = t^d - t^{d-1} + t^{d-2} - t^{d-3}$$



then

$$p = 2b_2 + 4, \text{ or } p = 2b_2 + 5$$

$$\Rightarrow \Delta_K = t^d - t^{d-1} + t^{d-2} - t^{d-3} + \dots - t^{d+4} + t^{d+3} - t^{d+1} - t^d$$

Particularly.

$d=5$.

$$\underline{\Delta_K = \Delta_{Pr(-2,3,7)}}$$