

A calculus of  
Scharlemann's manifold;  
sliding, isotopy, and upside-down

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## § 1 Introduction

'76 M. Scharlemann

Constructing strange manifolds with  
the dodecahedral space  
(Duke math. J. 43. 33-40)

1. Fake homotopy structure  
of  $S^3 \times S^1 \# S^2 \times S^2$  (Scharlemann's  
 $S^3 \times T^2$  mfd)
2. Non-triangulable homotopy

$$\mathbb{C}P^2 \times S^1$$

'99 S. Akbulut

Scharlemann's manifold is standard  
(Annals of Math. 149 497-510)

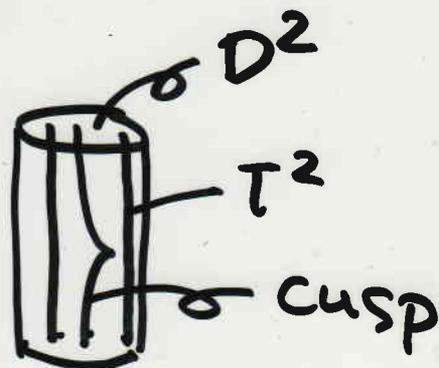
- Scharlemann's mfd is diffeo.  
to standard  $S^3 \times S^1 \# S^2 \times S^2$ .

Motivation, purpose, exposition, ... etc.

$X$ : 4-dim smooth mfd.

$\cup$

$C$ : cusp nbd. =



$\cup$

$\nu(T^2)$ : nbd of regular fiber.

$K \subset S^3$ : knot

$$X_K := [X \setminus \nu(T^2)] \cup [\{S^3 \setminus \nu(K)\} * S^1]$$

knot surgery of  $X$

Fact (Fintushel-Stern)

$X$ : K3 surface (elliptic fibration)

$$X_K \simeq X \quad (\text{homeo})$$

but

$$X_K \not\cong X \quad (\text{non-diffeo})$$

(if  $\Delta_K \neq 1$ )

(Because  $SW_{X_K} = \Delta_K SW_X$ )

How about other examples?

$$S^2 \times S^2 = C \cup (-C)$$

$$SW_{S^2 \times S^2} = 0$$

Question ('02 M. Akaho)

Is  $C_K \cup (-C_K)$  standard?

Proposition (Akaho)

$$(X_K)_L = X_{K \# L}$$

Corollary

$$C_K \cup (-C_K) = C_{K \# E} \cup (-C)$$

Question

Is  $C_K \cup (-C)$  standard or non-standard?

Theorem (Akbulut)

$$C_{\text{trefoil}} \cup (-C) \cong S^2 \times S^2 \text{ (diffeo)}$$

# Sketch of Proof

$K$ : trefoil

$C_K(-C) =$  surgery of  $M$

$=$  surgery of  $S^3 \times S^1 \# S^2 \times S^2$   
(Scharlemann's mtd)  
Akbulut

$$= S^2 \times S^2$$



Generalize the result of Akbulut

for solving the Akaho's question!

We expose Akbulut's result.

Theorem (S. Akbulut)

$$M \cong S^3 \times S^1 \# S^2 \times S^2$$

## § 2 Scharlemann's manifold

Definition  $N$ : PL-mfd homotopy str on  $N$   
 $\exists f: N' \rightarrow N$  (simple homotopy str)

Def  $f_0: N_0 \rightarrow N$   
 $f_1: N_1 \rightarrow N$   $f_0 \sim f_1$   
 $\Leftrightarrow \exists F: \exists W \rightarrow N \times I$  (homotopy eq.)  
 $W$ : S-cobordism of  $N_0$  &  $N_1$   
 $F|_{N_0} = f_0, F|_{N_1} = f_1$

Def  $f: N_1 \rightarrow N$  fake homotopy str  
(homotopy str)

$W$ : PL-cobordism of  $M_1$  &  $M$

$F: W \rightarrow M \times I$  (a map)

but

$F$ : non-homotopy eq.

$\Sigma$  : the Poincaré sphere

$\Sigma \times S^1 \supset \gamma$  : a loop generating  
of  $\pi_1(\Sigma)$

$\Sigma \times S^1 \setminus \nu(\gamma)$  removing

$[\Sigma \times S^1 \setminus \nu(\gamma)] \cup D^2 \times S^2$  attaching

Definition We define Scharlemann's  
manifold  $M$  to be the resulting mfd

Theorem (Scharlemann)

$\exists f: M \rightarrow S^3 \times S^1 \# S^2 \times S^2$  (homotopy str.)

$f \not\cong$  diffeo (homotopic)

Q ('76-'99)

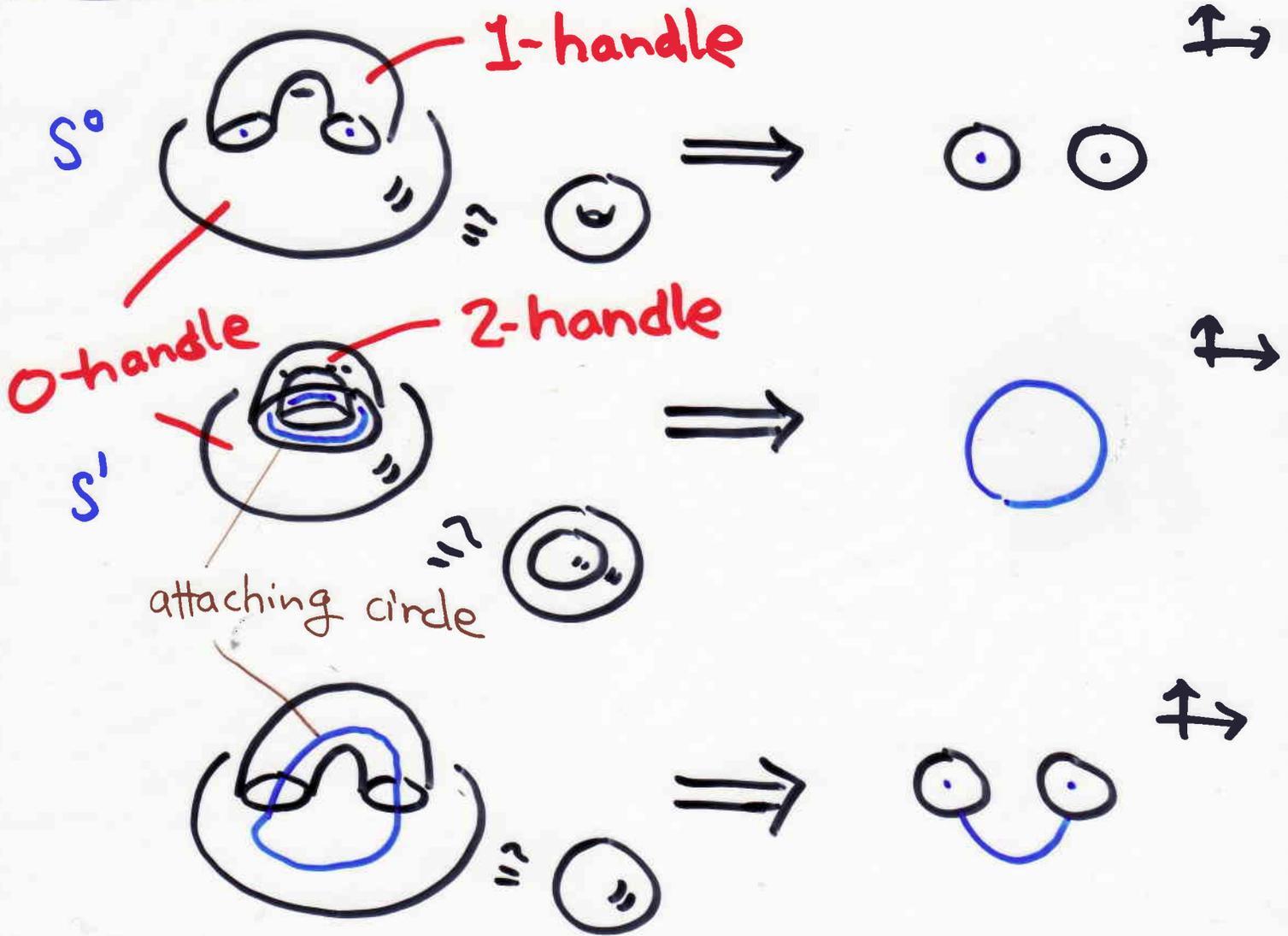
$M$  is diffeo. to  $S^3 \times S^1 \# S^2 \times S^2$

in the first place?

# § 3 Kirby calculus

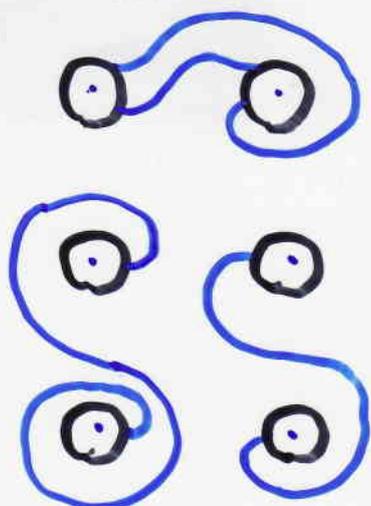
## Design of 4-Manifold

### 3-Manifold



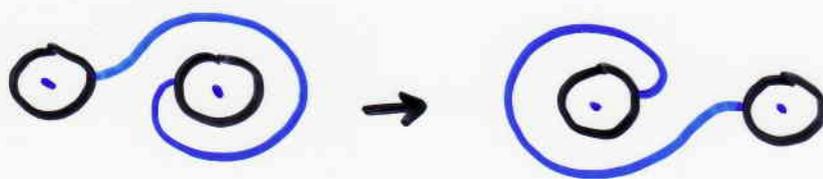
a 2-handle running through a 1-handle

# Example (Gompf-Stipsicz)

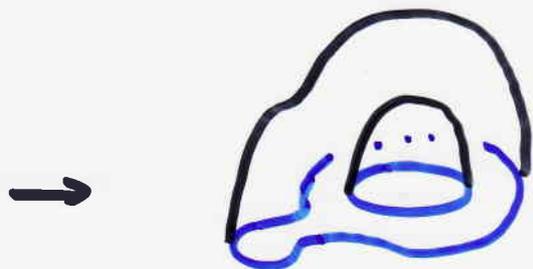
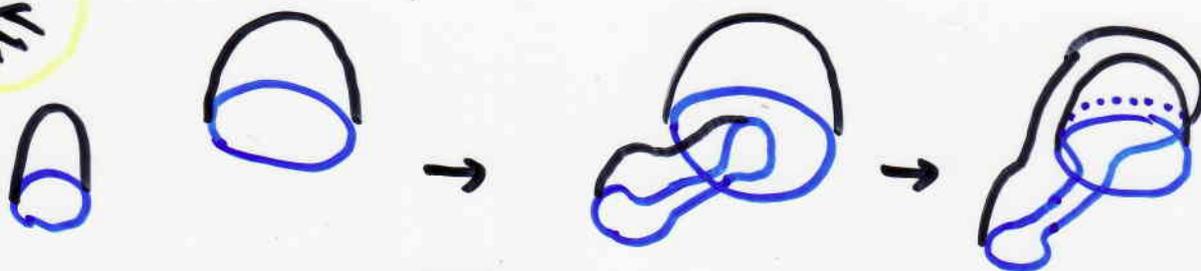


Kirby diagram

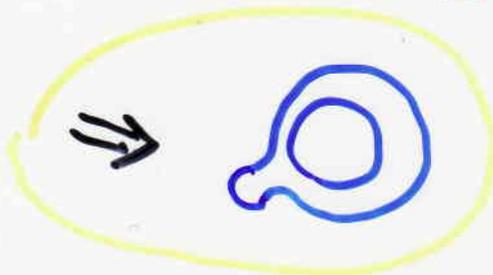
The fundamental move (Kirby move)  
• isotopy of attaching sphere



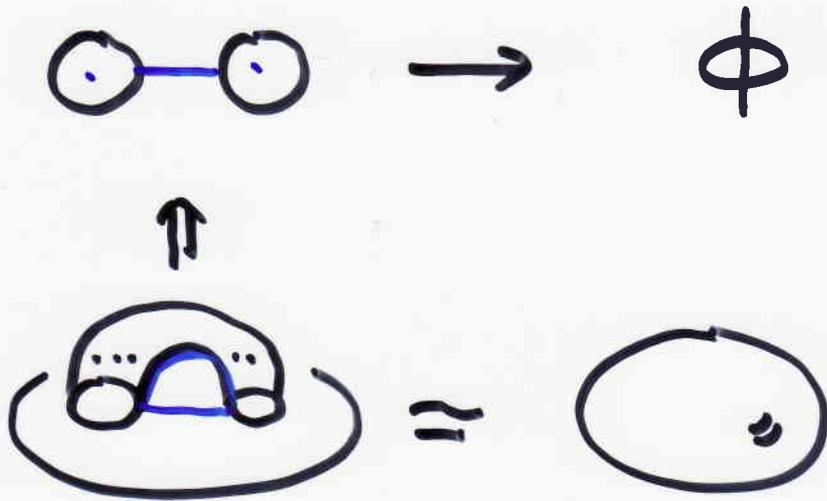
• sliding of attaching sphere



connected sum!



• cancelling of a pair of handles



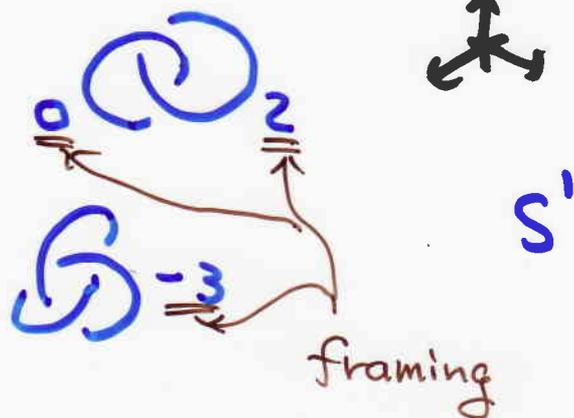
• creating

## 4-Manifold

1-handle



2-handle

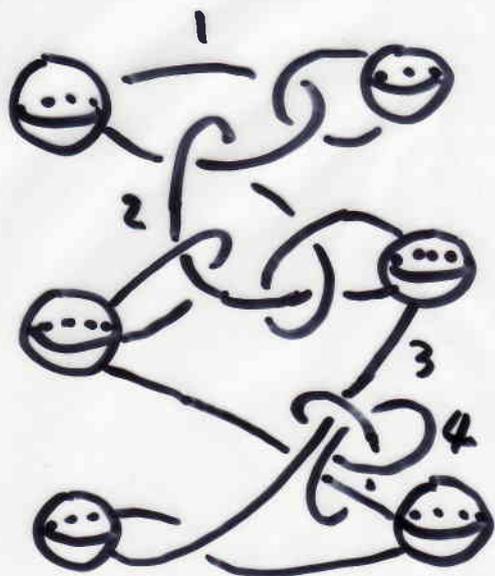


3-handle



attaching sphere

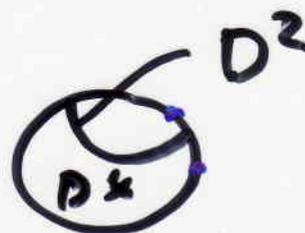
# Examples (Gompf - Stipsicz)



## 1-handle description



image

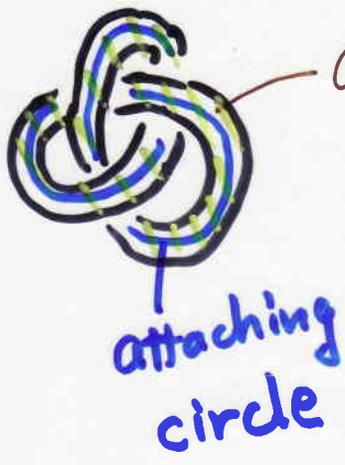


## 3-handle description



3-handle running through 2-handle

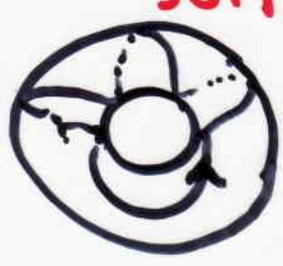
# framing



attaching region  
 $\cong S^1 \times D^2$

Isotopy classes of  
 diffeo.  $S^1 \times D^2 \rightarrow S^1 \times D^2$

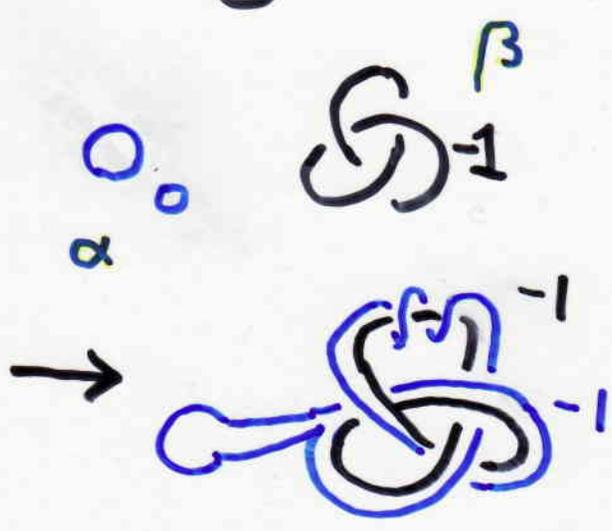
$\cong \mathbb{Z}$  (twisting #)  
 self-linking #



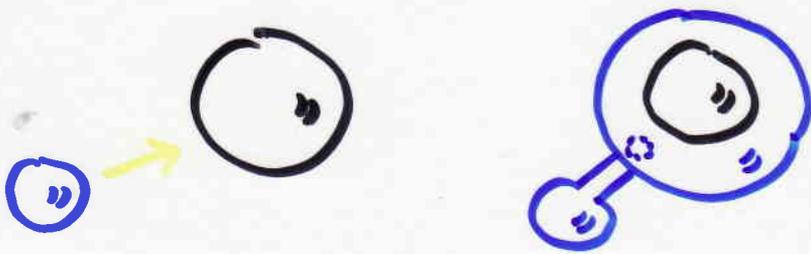
twisting #  
 $= 3$

## Kirby move

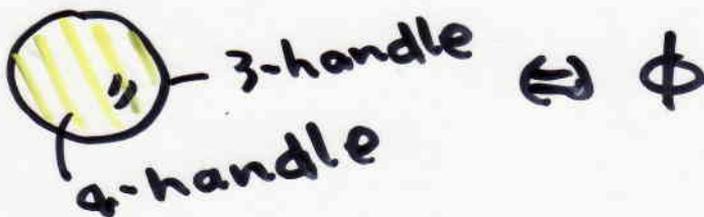
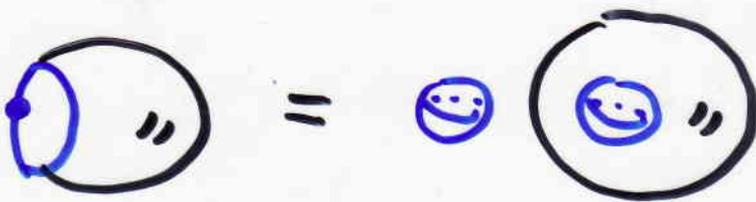
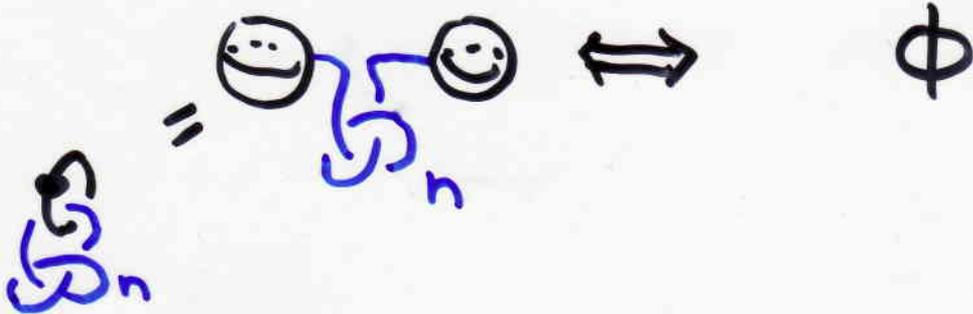
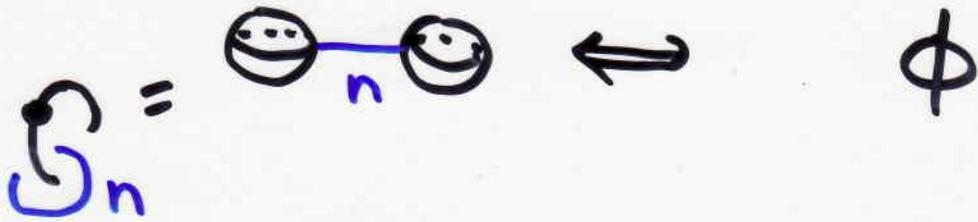
- isotopy
- sliding



$$\begin{aligned}
 & (\alpha + \beta)^2 \\
 &= \alpha^2 + \beta^2 \\
 & \quad + 2\text{lk}(\alpha, \beta) \\
 &= 0 + (-1) \\
 & \quad + 2 \cdot 0 \\
 &= -1
 \end{aligned}$$

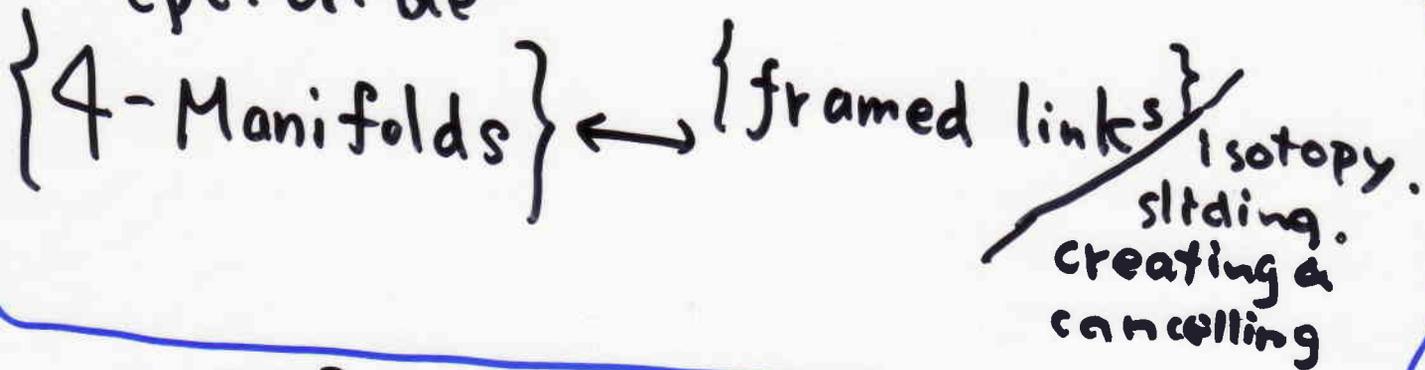


• Cancellling and creating



# Theorem

cpt. ori-ble



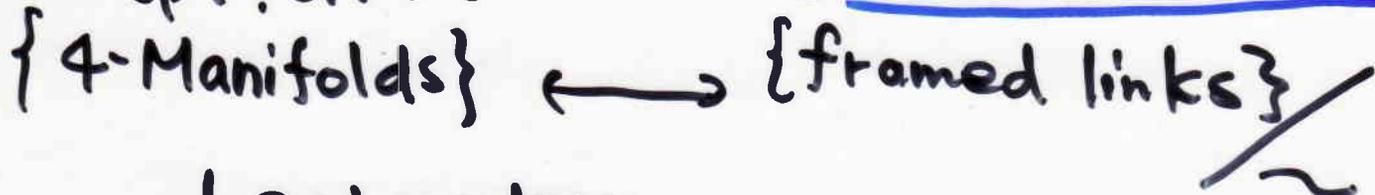
$$\mathbb{C}P^2 = \bigcirc_1 = \bigcirc^1$$

$$S^2 \times S^2 = \bigcirc^0_0 = \bigcirc^1_1 = \dots$$

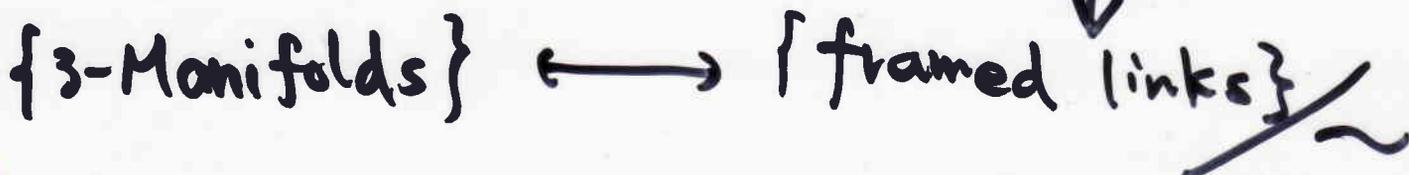
$$S^3 \times S^1 = \bigcirc^0_1 = \bigcirc^0_2 = \bigcirc^0_x = \dots$$

# Theorem

cpt. ori-ble



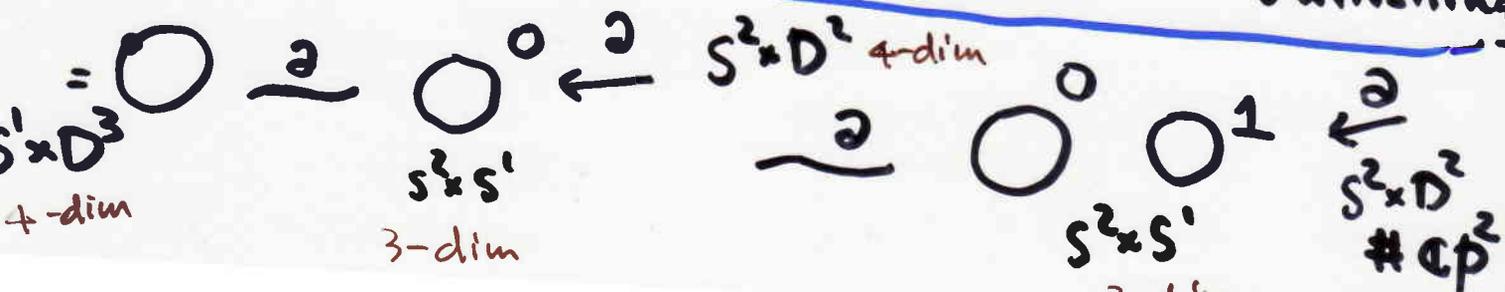
↓  $\partial$ : boundary



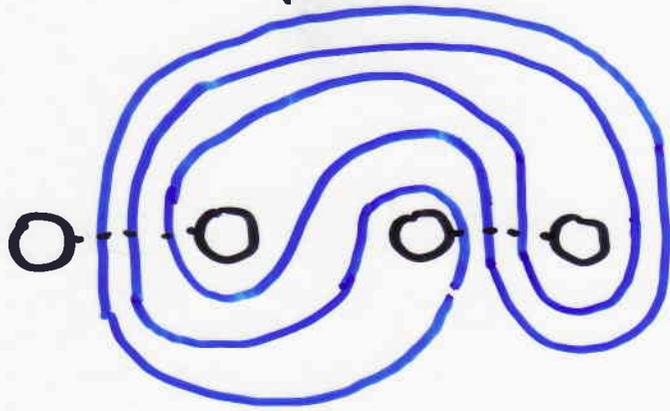
$$\bigcirc = \bigcirc^0$$

e

0 ≠ 1 - creating  
" - vanishing



# §4 Description of Scharlemann's mfd



$S^3 \setminus \nu(\mathcal{L})$

$\downarrow * I$

$(\mathcal{L})^{-1}$   
 $\Sigma(2,3,5)$



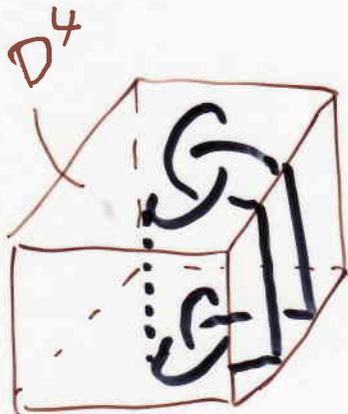
$\{S^3 \setminus \nu(\mathcal{L})\} * I$



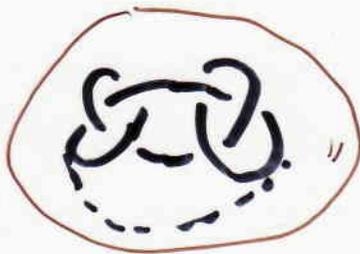
$\rightarrow$



slice 1-handle



~



the surgery of  $\Sigma \times I$

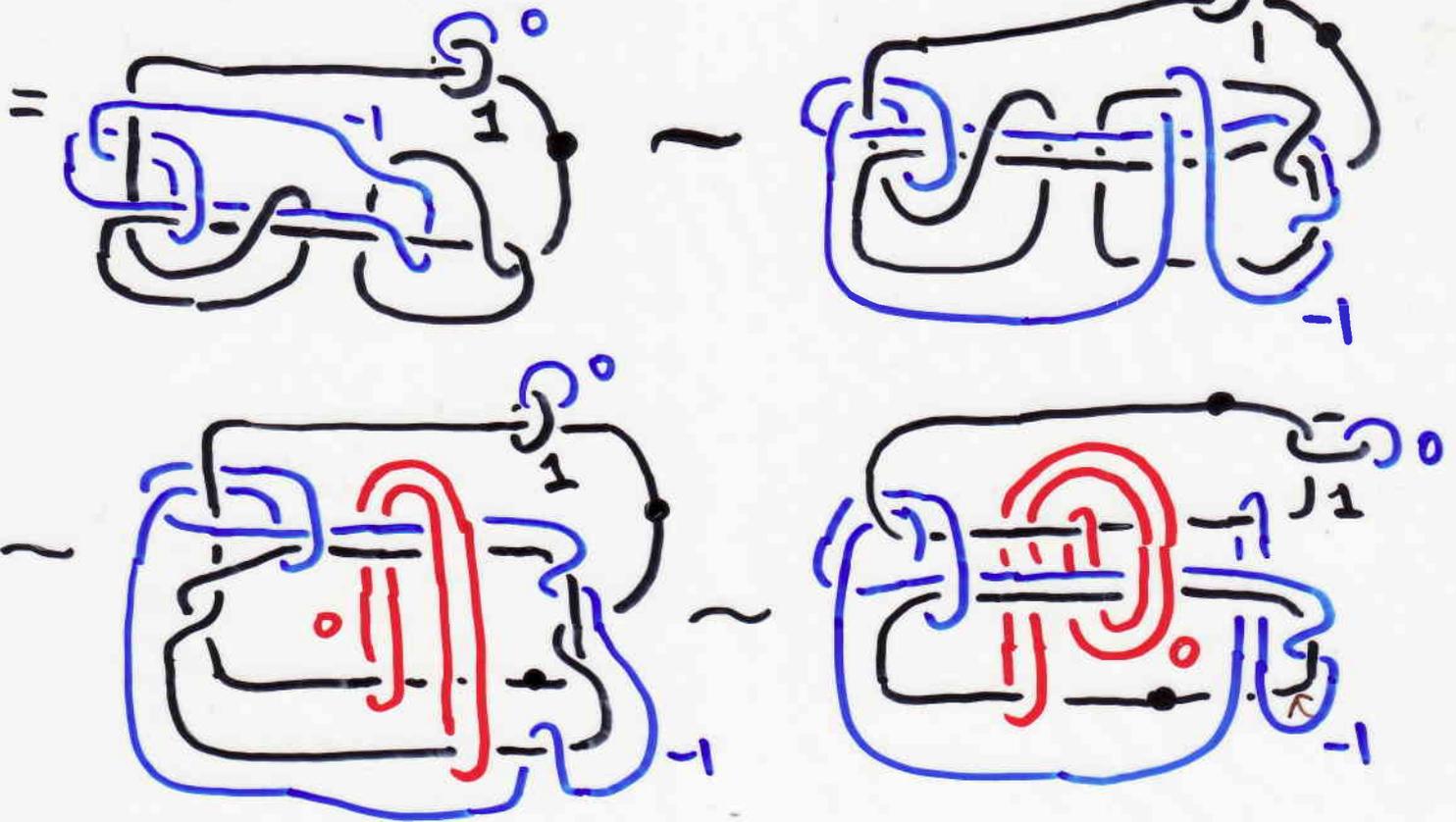
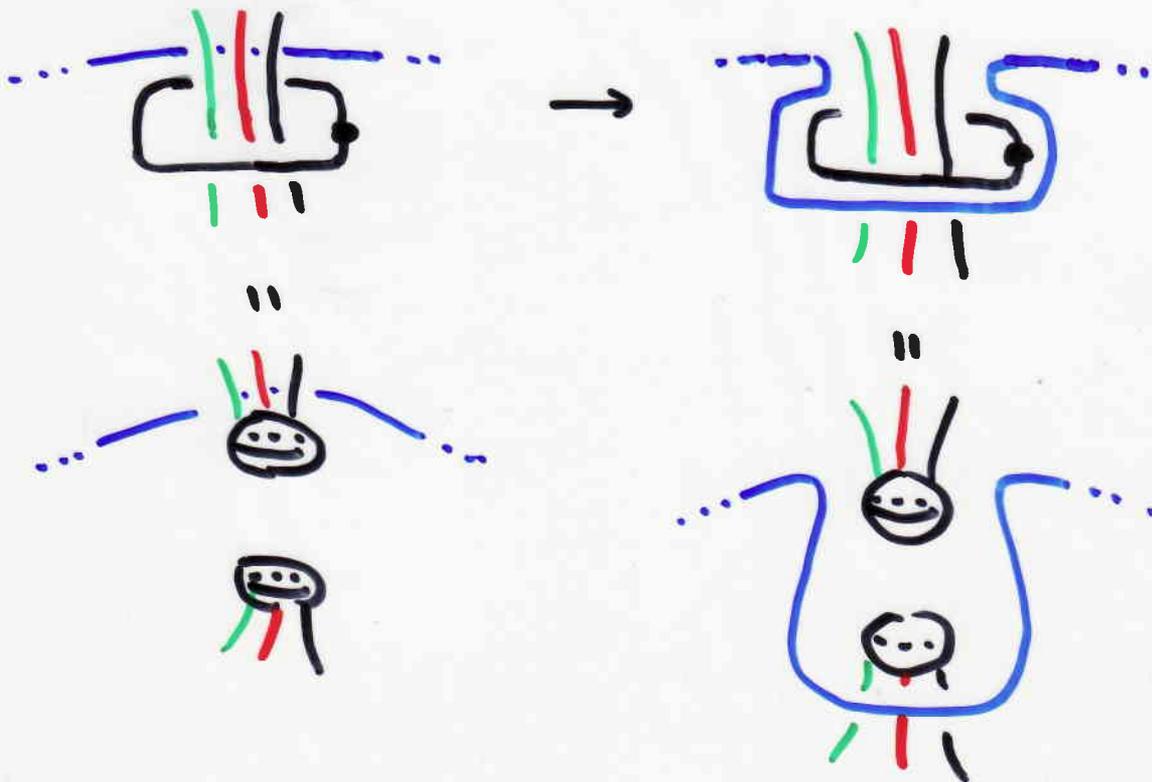


Fig. 5

sliding formulas



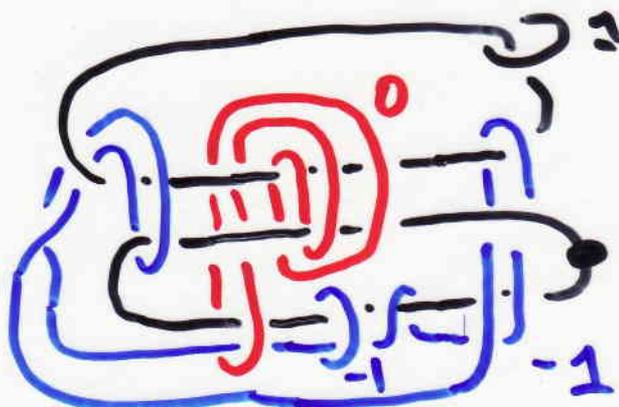
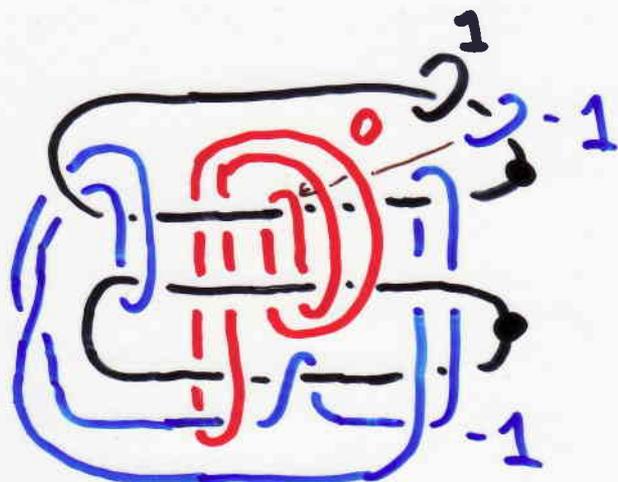
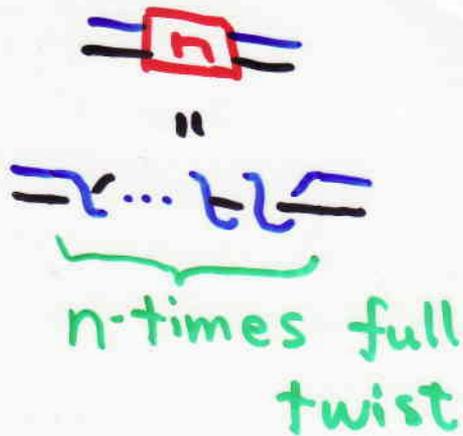
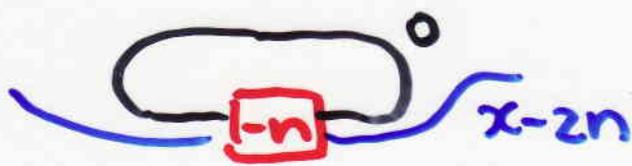
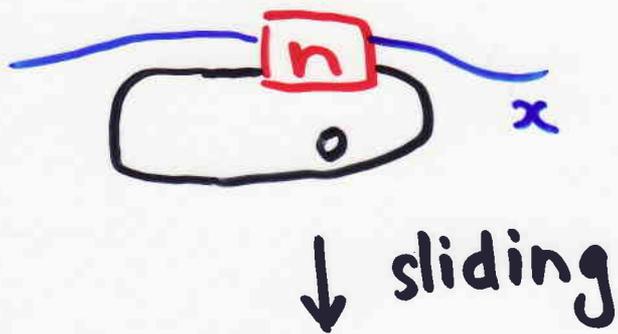
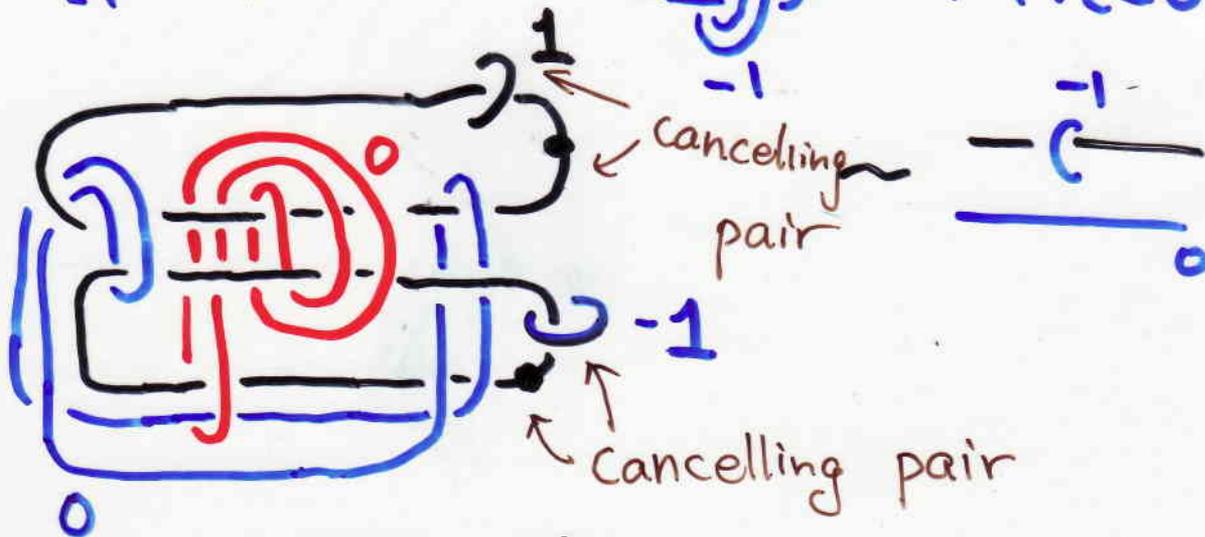
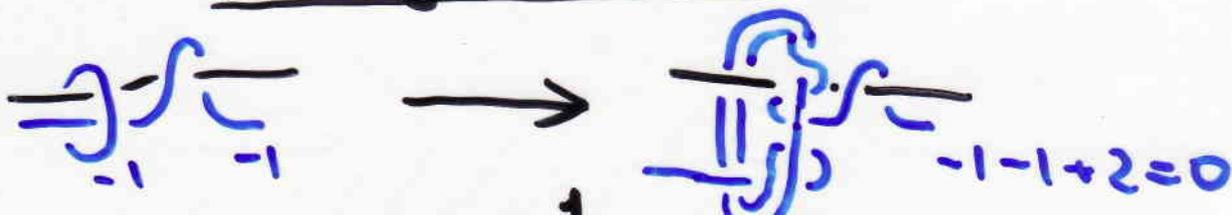


Fig. 6

Fig. 7

sliding formula II

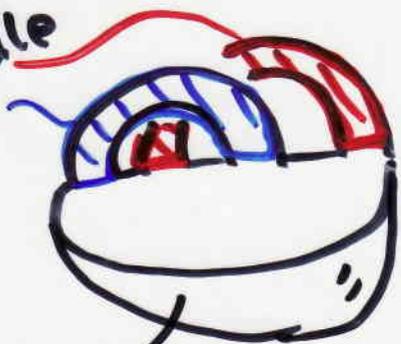


$\cup$  3-handle

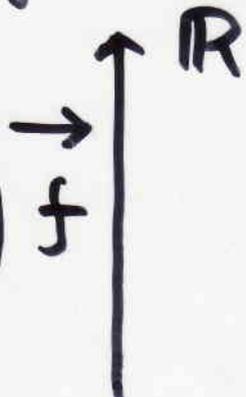
# upside-down

Kirby diagram  $\leftrightarrow$  Morse function

1-handle

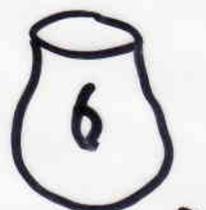


0-handle



a Kirby diagram  $\rightarrow$

$f$   
 $\downarrow$   
 $-f$

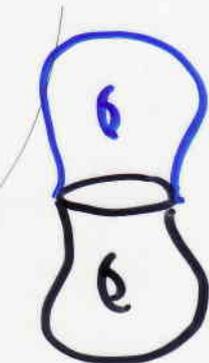


the upside-down diagram of

the Kirby diagram.



double  $\rightarrow$

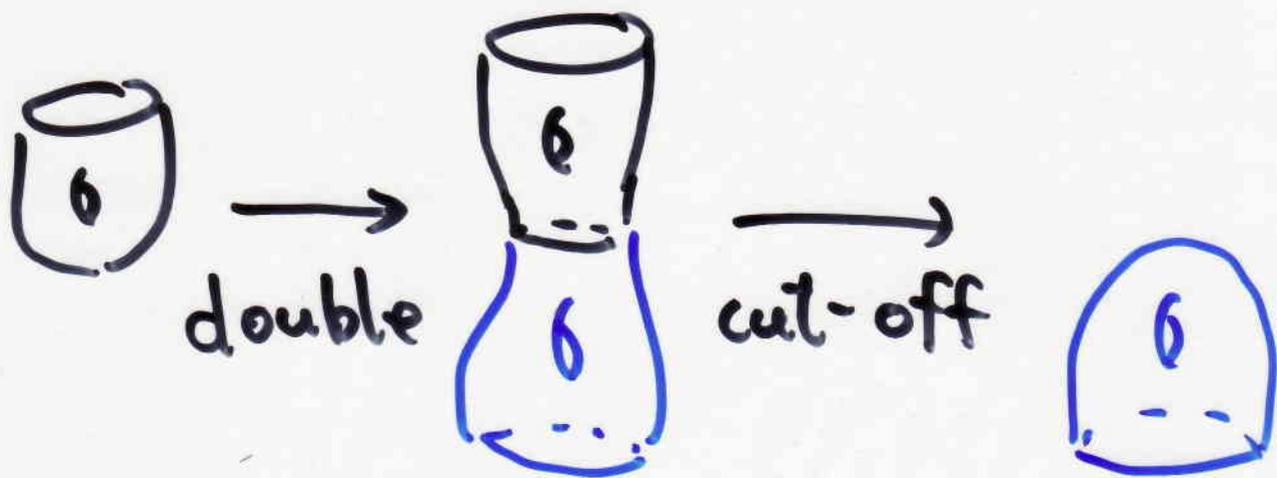


cut off  $\rightarrow$



closed mfd

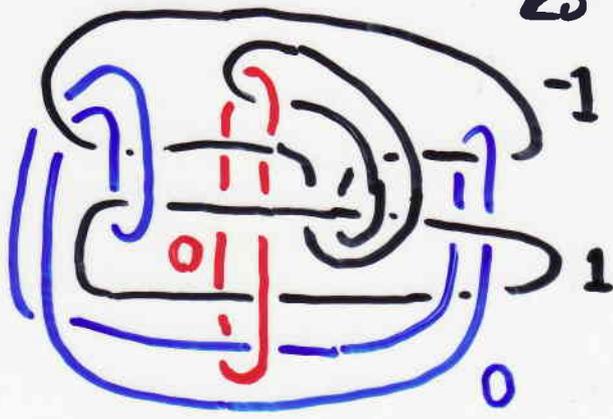
Actually this upside-down is the following pattern:



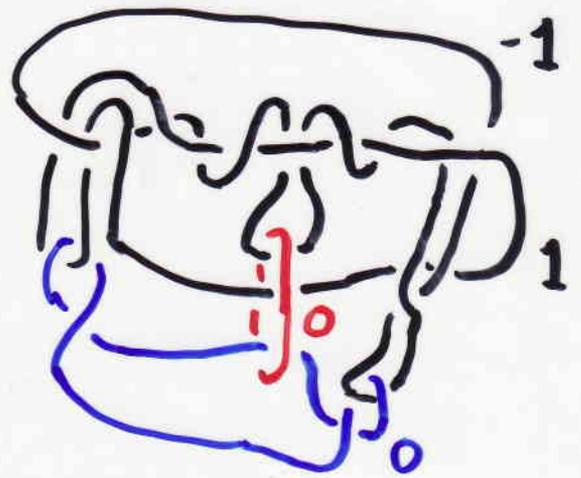
A later upside-down in this talk is the former.

(This sheet is interpolated after the seminar.)

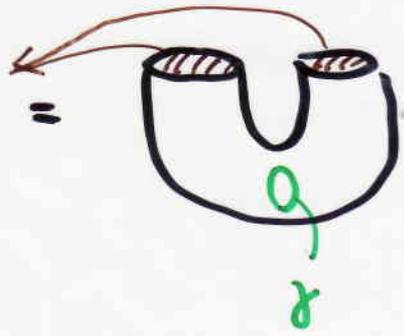
$\partial$ -surgered  $\Sigma \times I =$



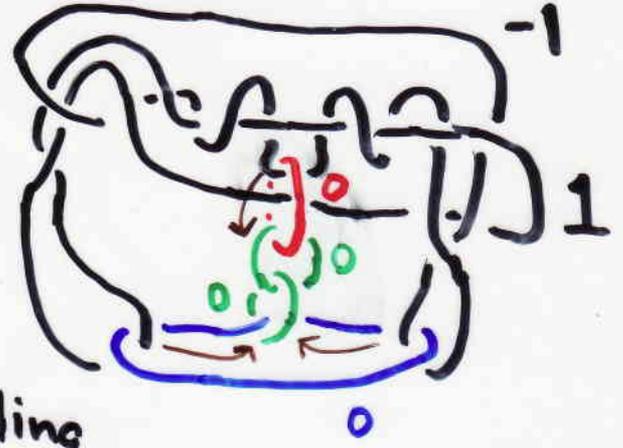
iso.



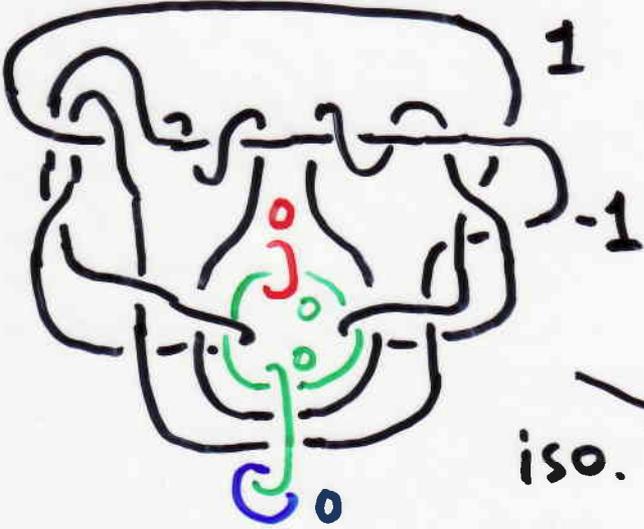
$\partial$   
 $\Sigma \# \Sigma =$



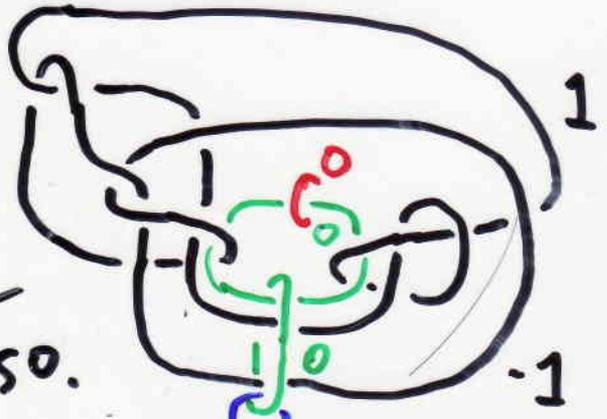
↓



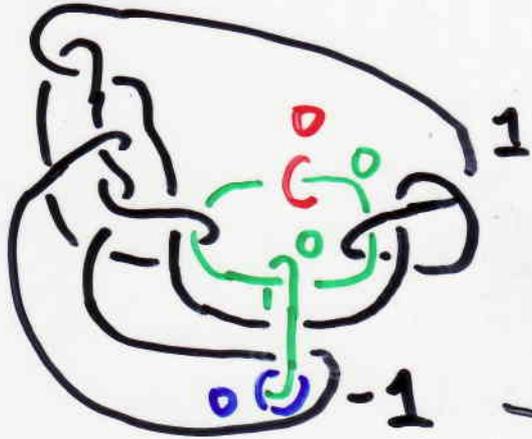
← sliding



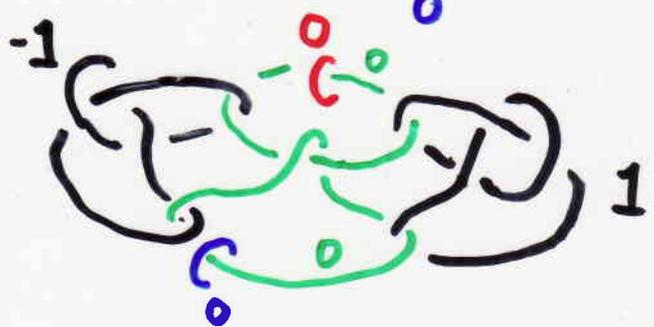
iso.

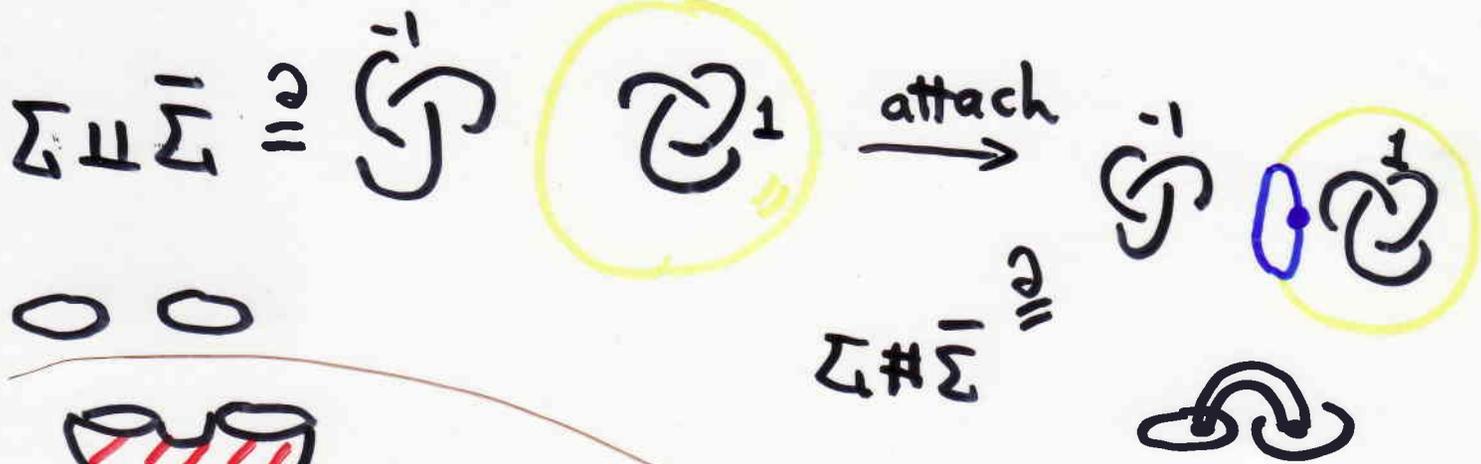


← iso.

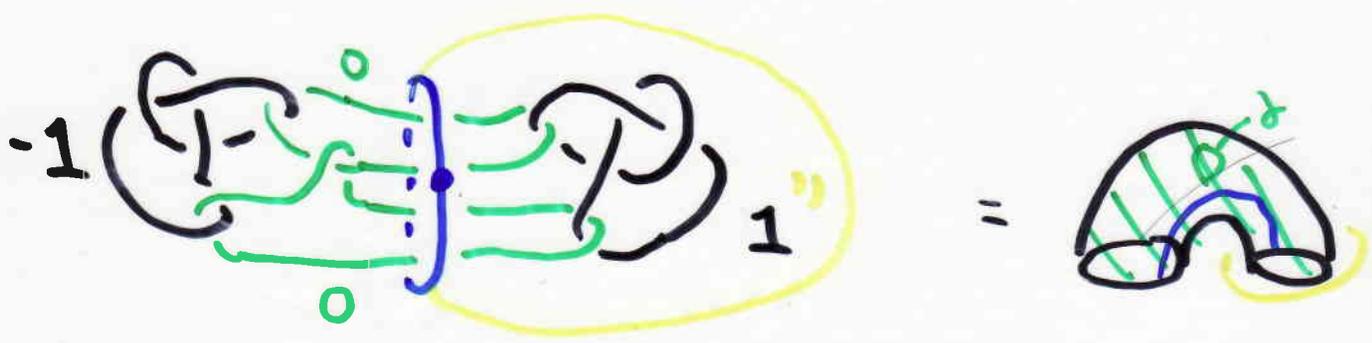


iso.





Remove { red & blue curve } !



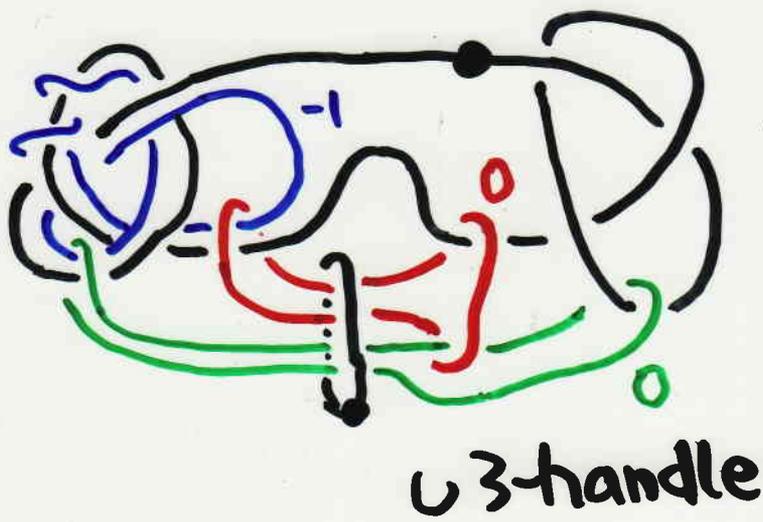
4-handle

the upside-down of the  
surgered  $\Sigma \times I$

Recall the diagram of  $\Sigma \times I$  !



$\cup$  3-handle



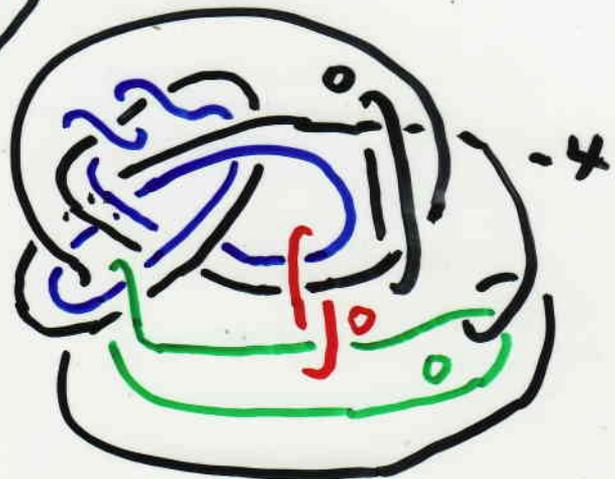
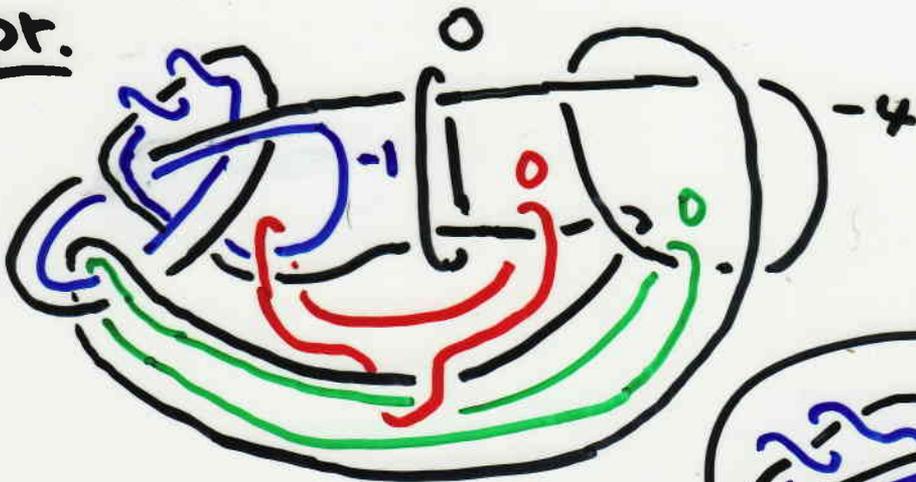
= M

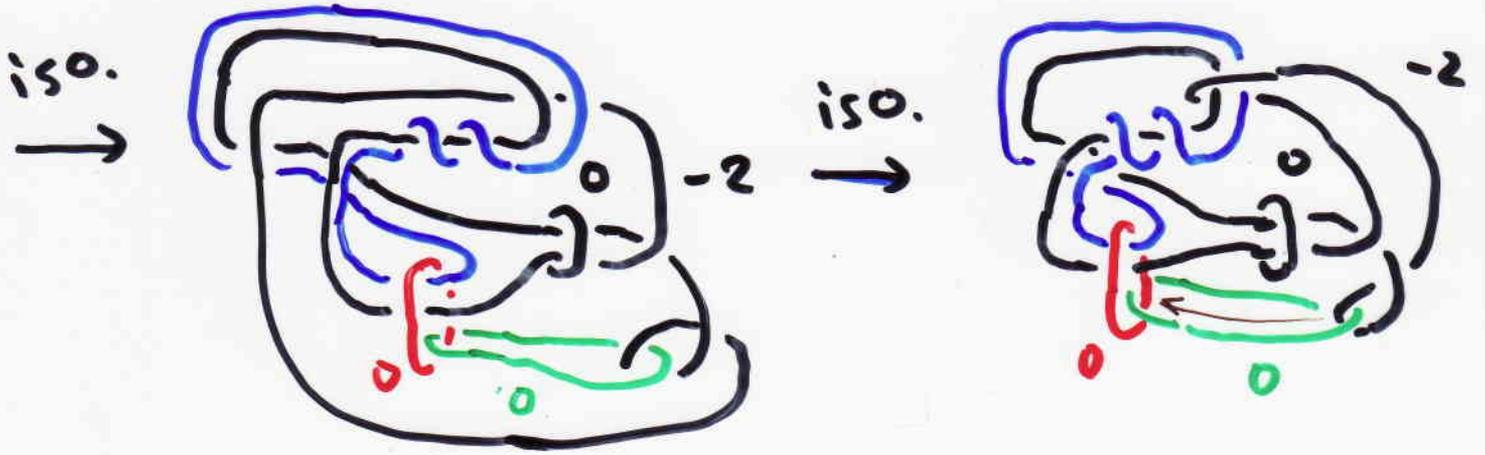
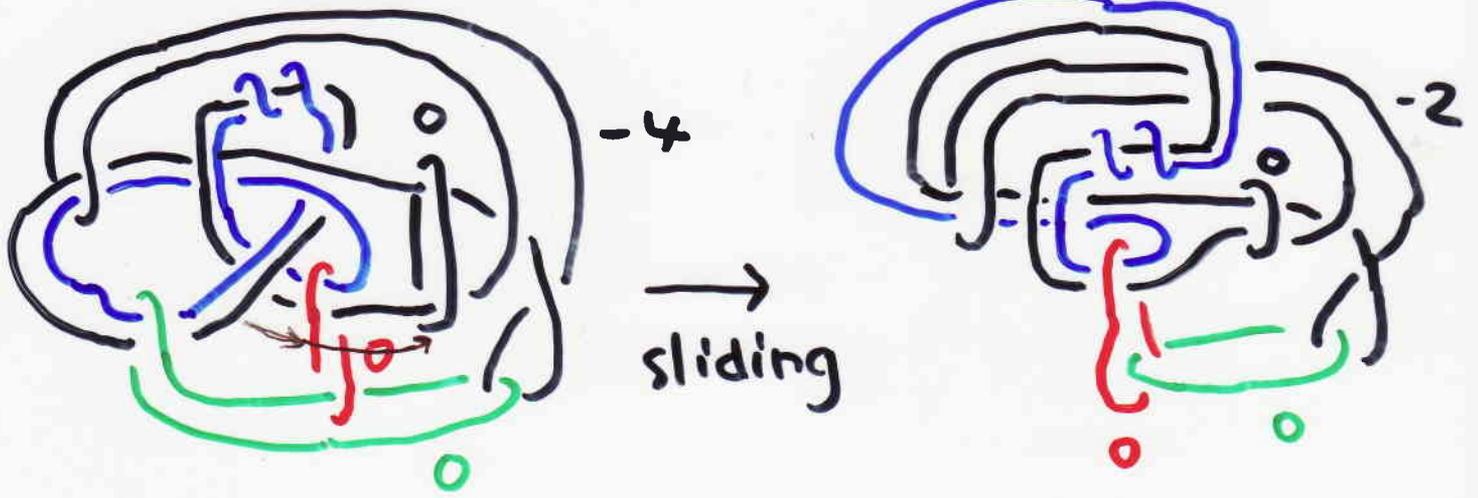
(Scharlemann's)  
mfd

## § 5 Proof of Akbulut's Theorem.

Lemma The blue circle is isotopic to trivial linking circle of the slice 1-handle.

Pr.

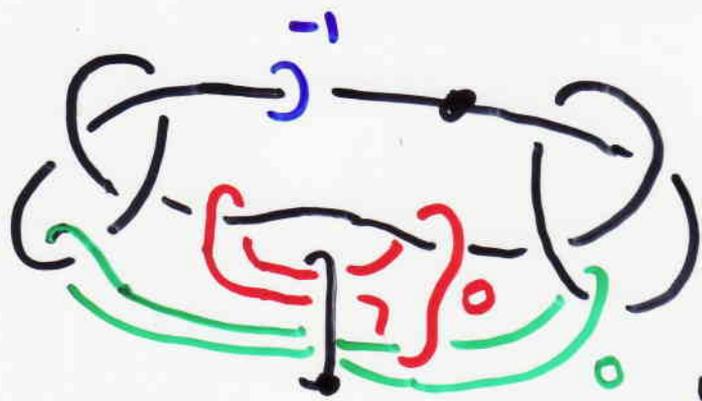




trivial linking.

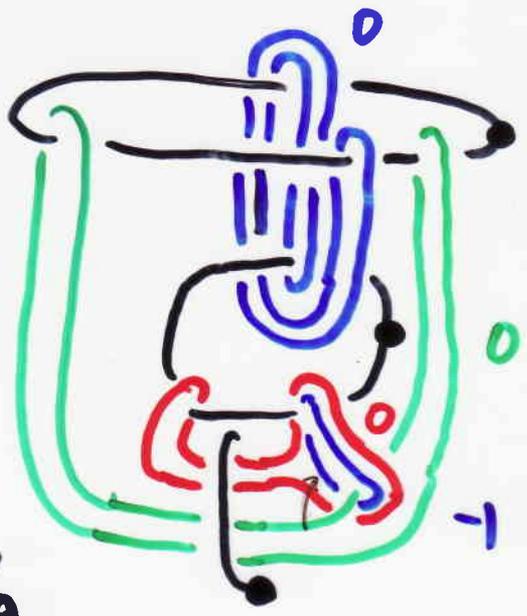
$\therefore$

$M =$

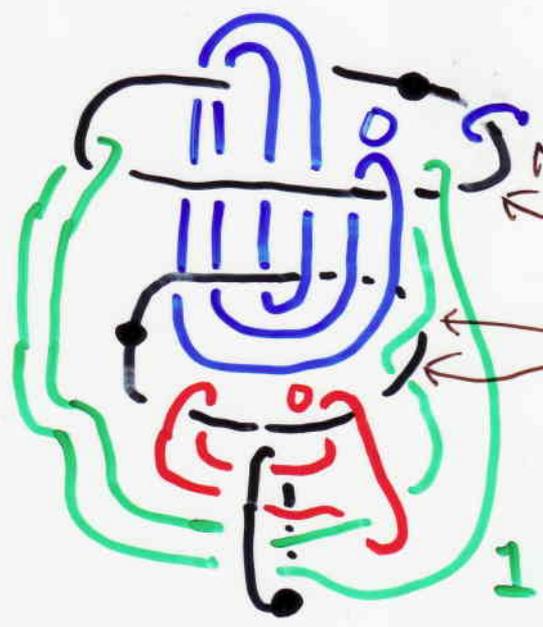


$u_3$ -handle

Ordinary  
→  
1-handle



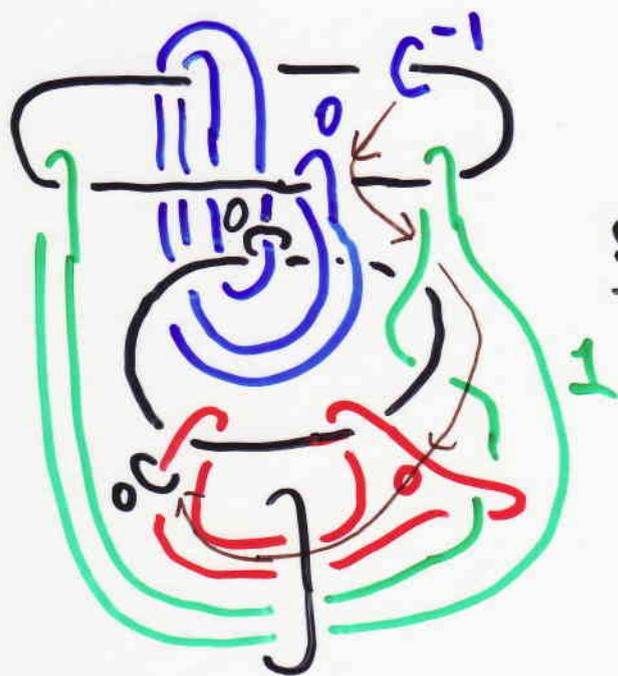
sliding



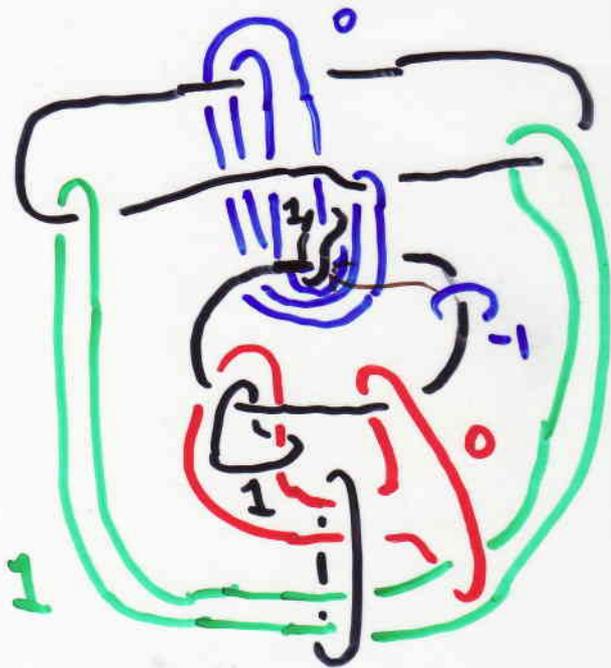
cancelling pair

cancelling pair

upside-down



sliding  
→



sliding  
→



3-handle  
4-handle.

Gluck surgery

$$[X \setminus \nu(S^2)] \cup D^2 \times S^2$$

Gluck surgery



unknot!

Gluck

4-handle

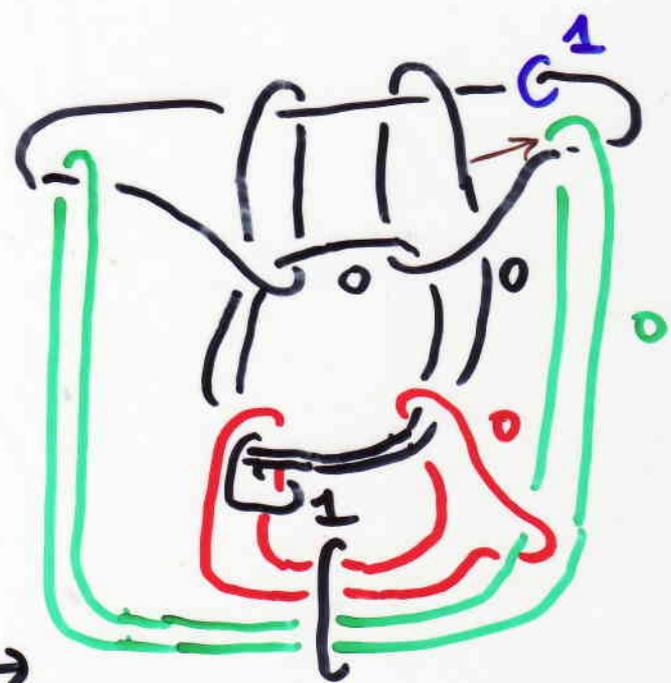
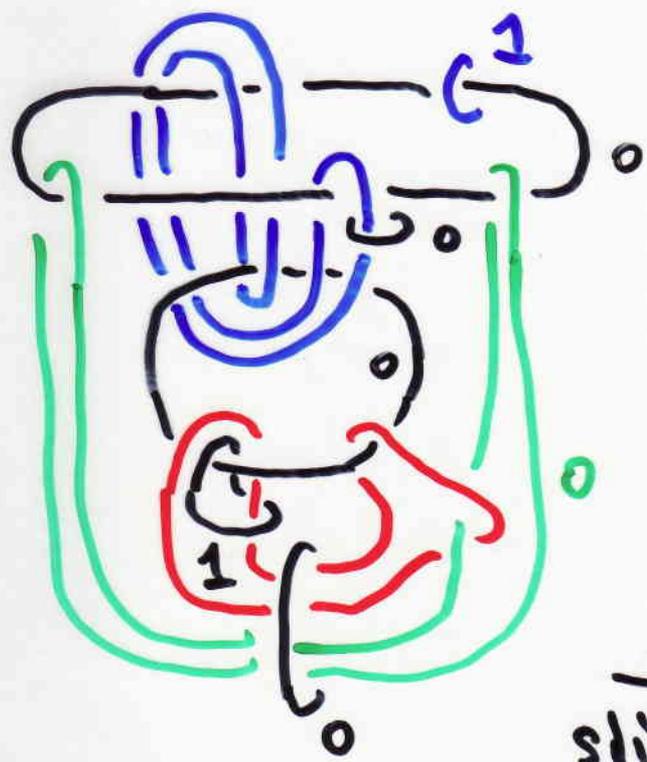
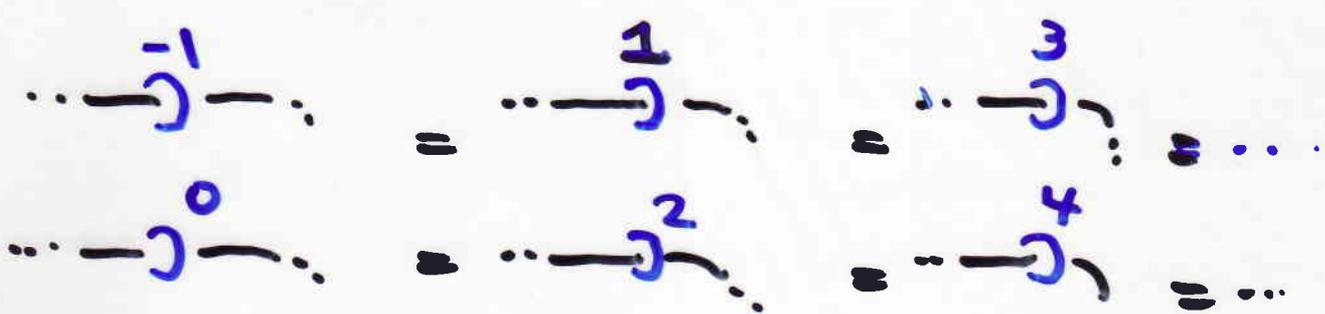


4-handle

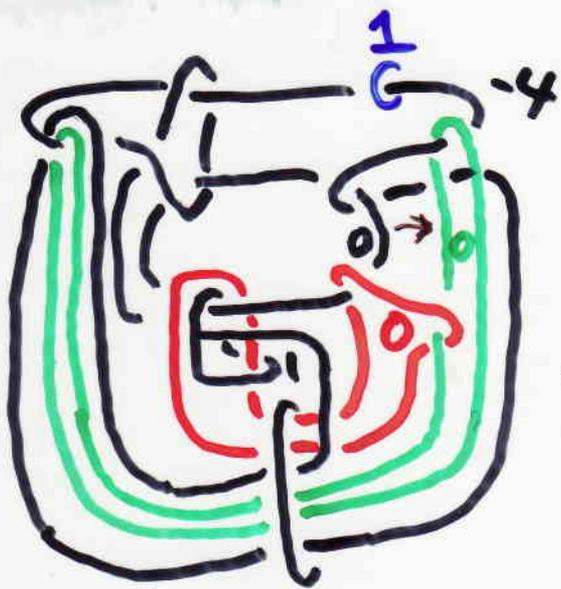
$S^2$ -complement

Gluck twist

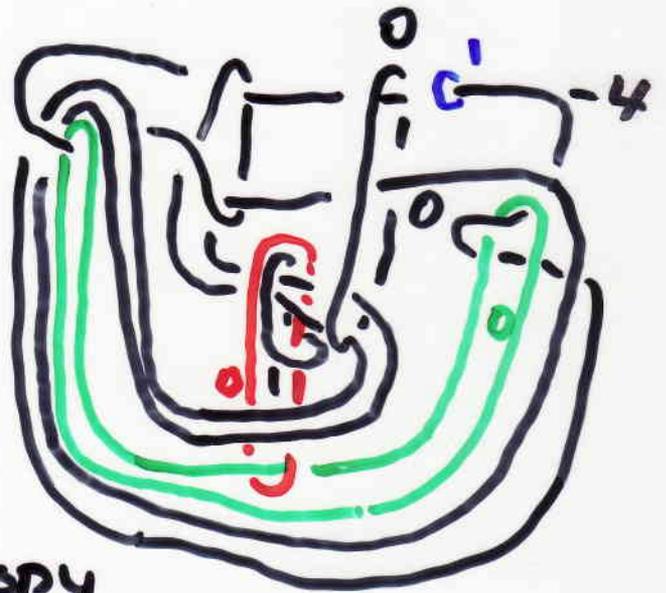
The homotopy classes of  $S^2 \times S^1$ 's are  $\mathbb{Z}/2\mathbb{Z}$ .



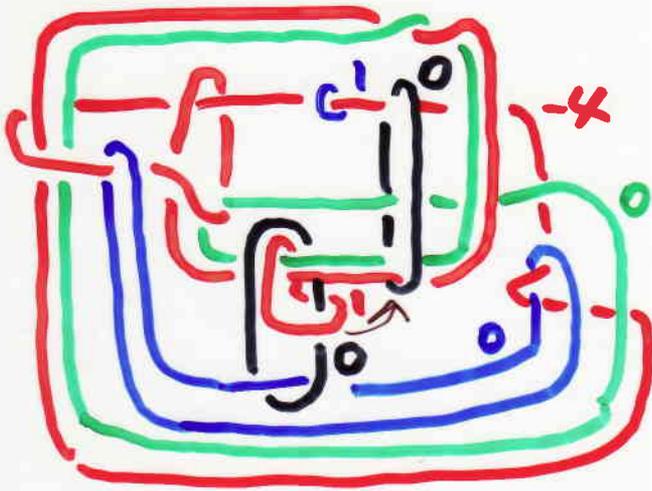
sliding & cancelling



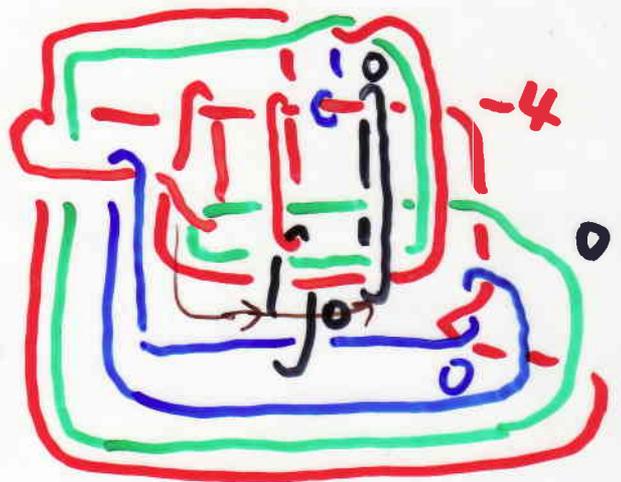
sliding  
→  
-ng



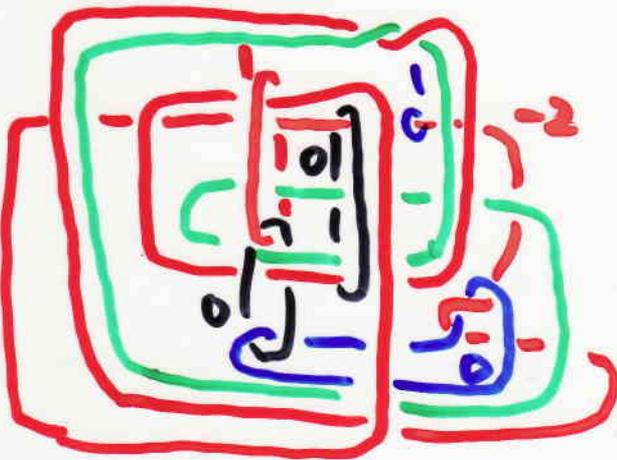
← isotopy  
& color change



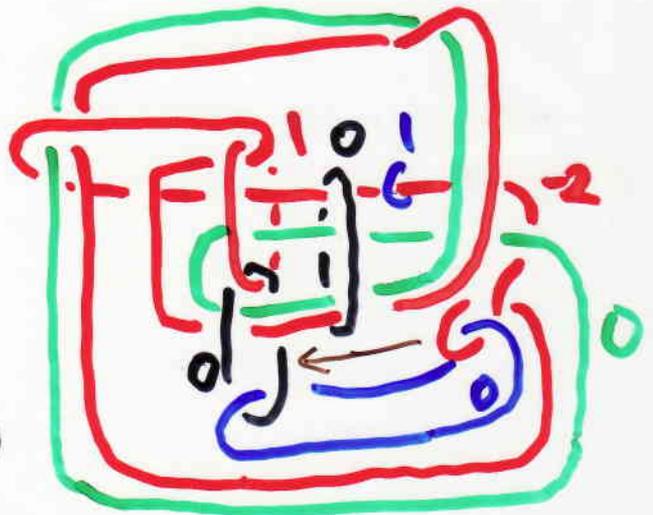
→  
sliding  
g



← sliding

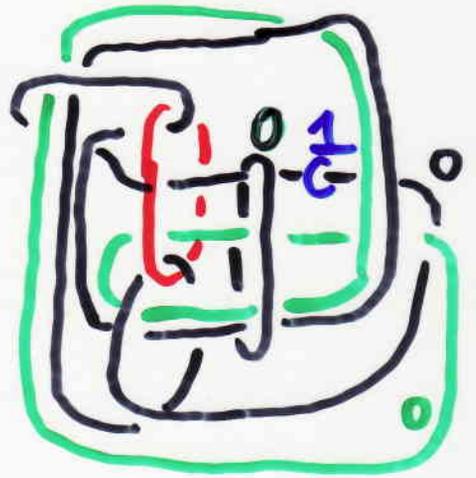


→  
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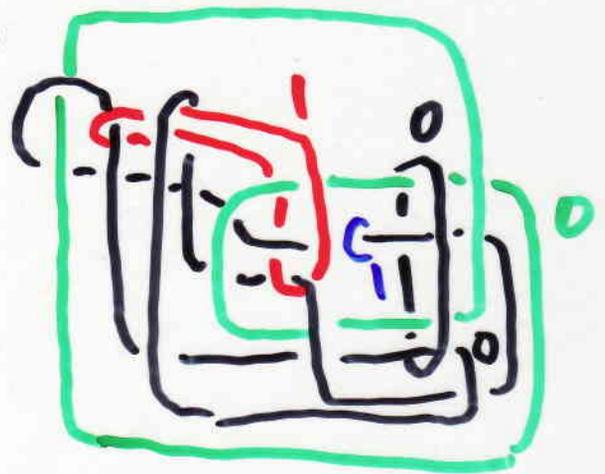
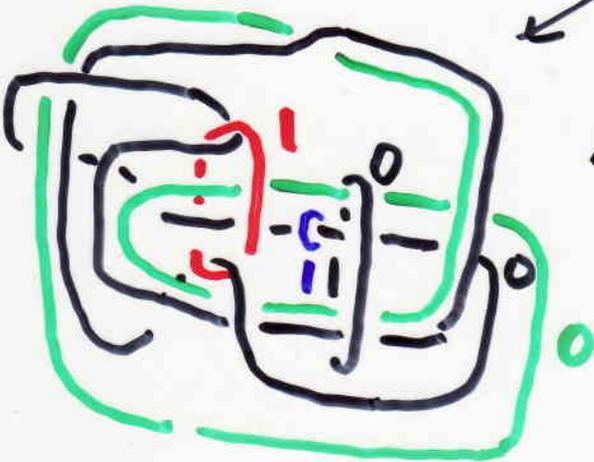




cancelling  
 →  
 &  
 color  
 change

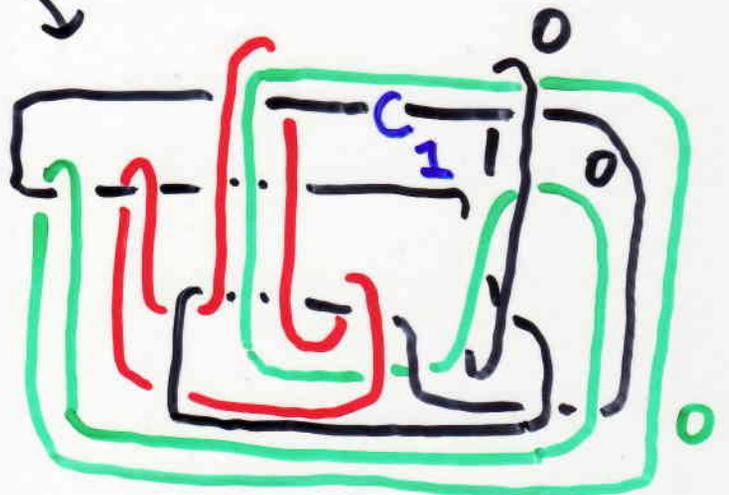
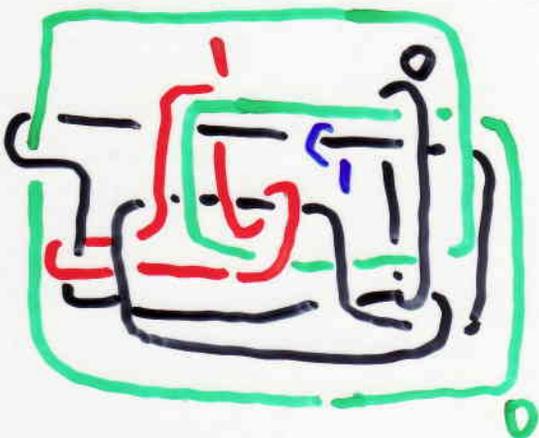


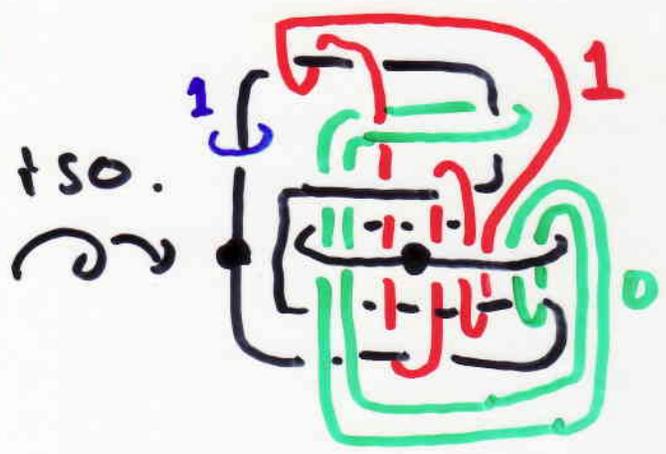
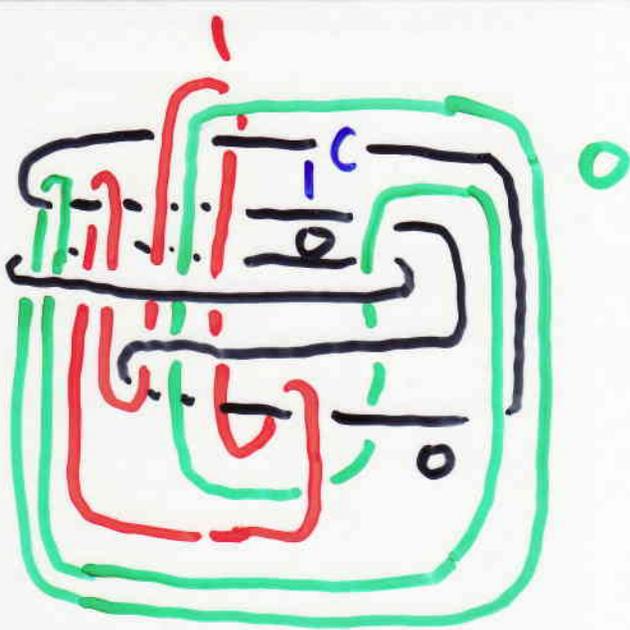
iso.  
 ↙  
 iso.



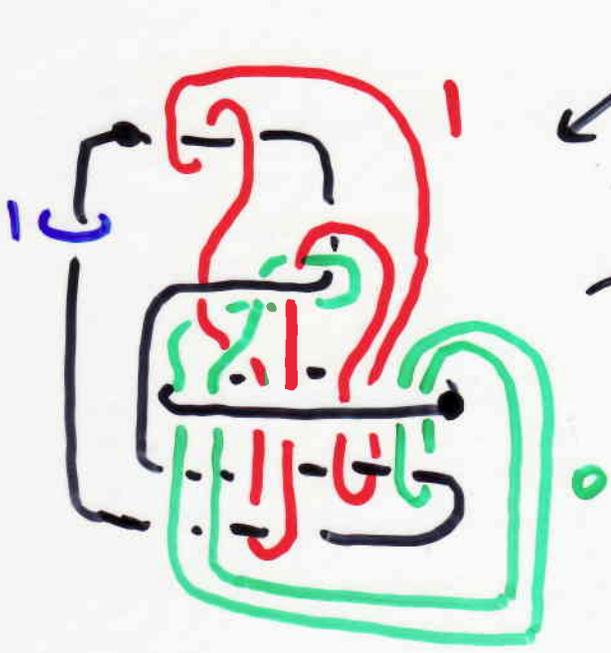
↙ iso.

↙ iso.



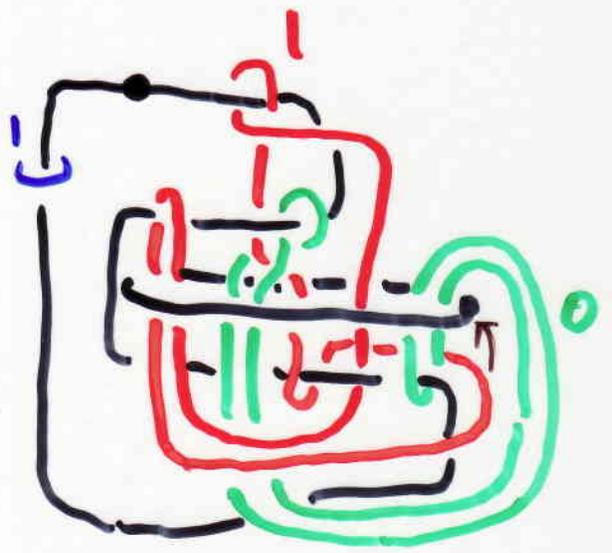


The upside-down finishes.

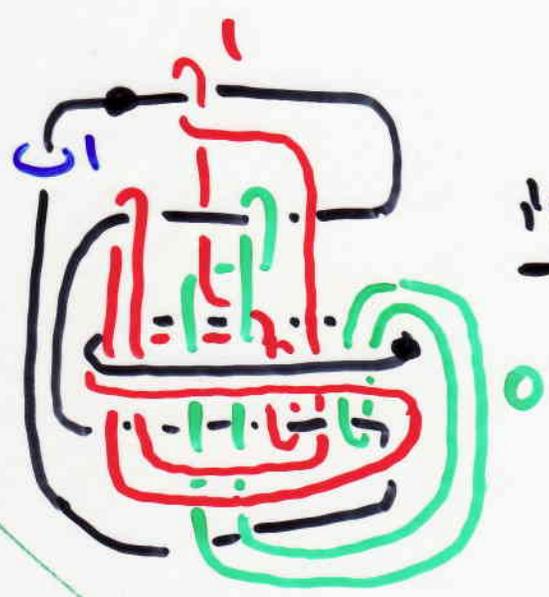


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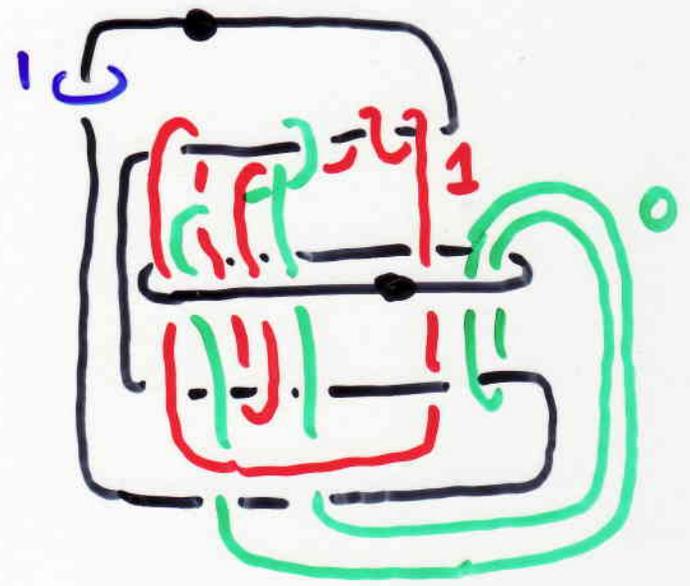
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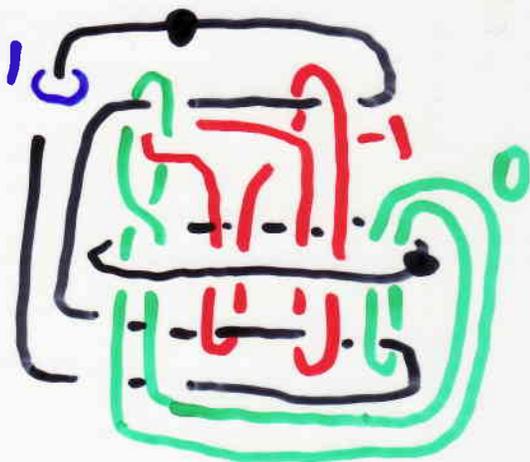
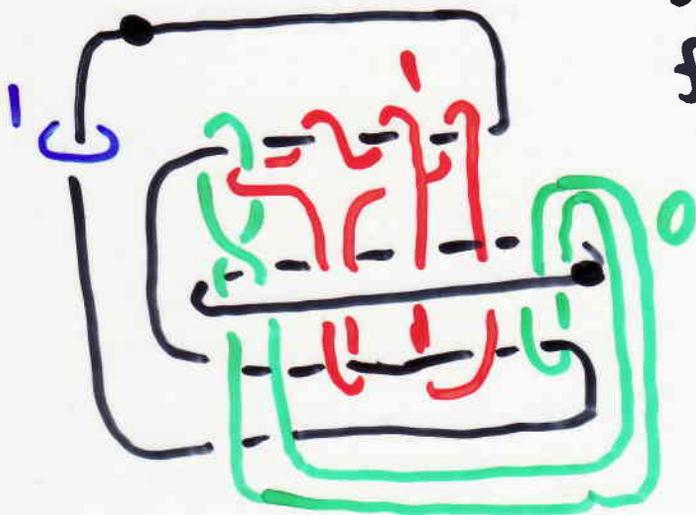
sliding



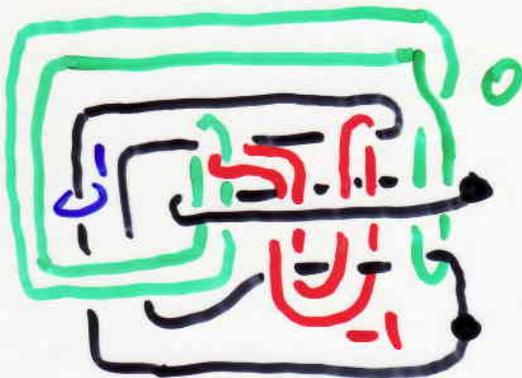
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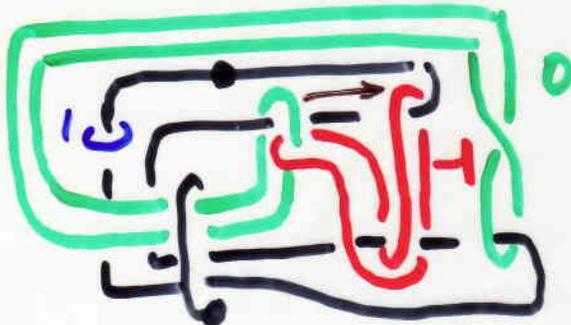
sliding formula



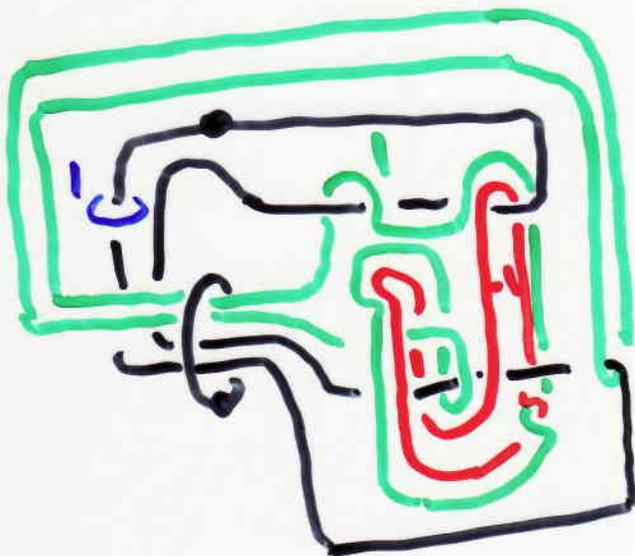
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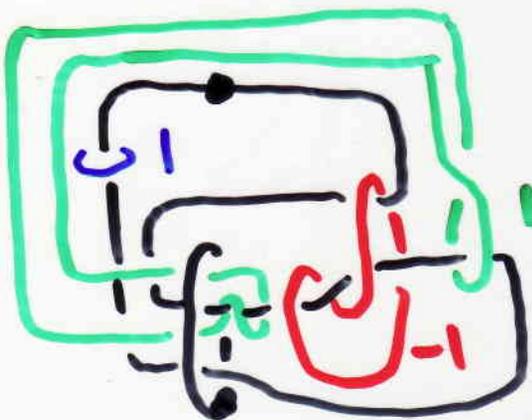
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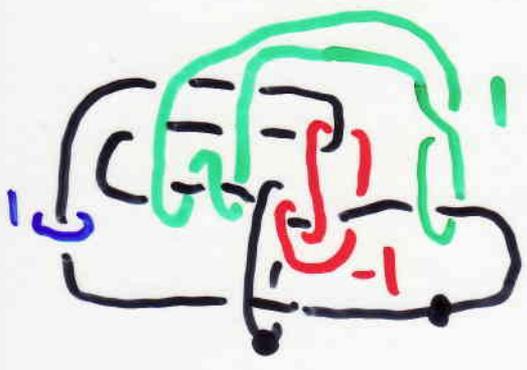


sliding

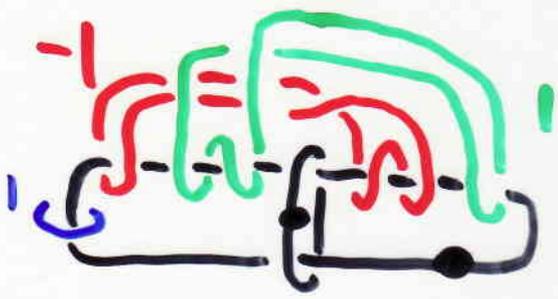


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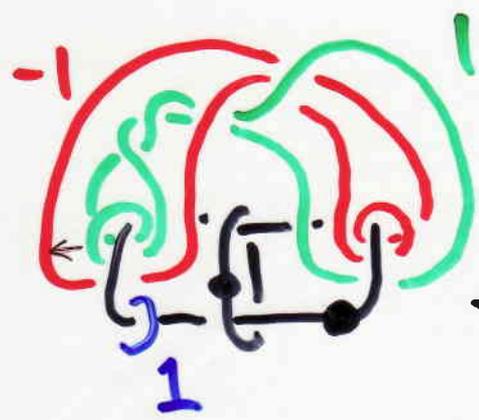




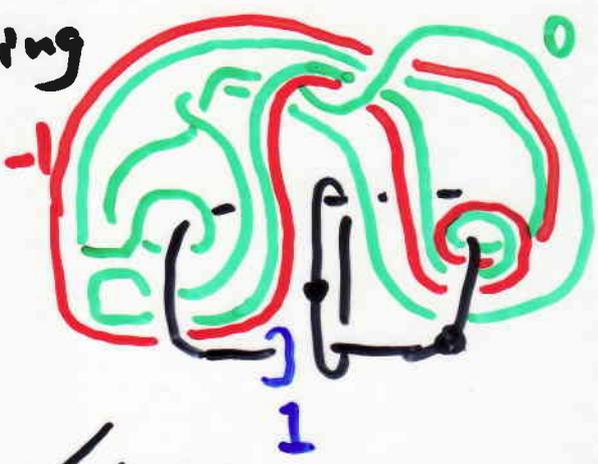
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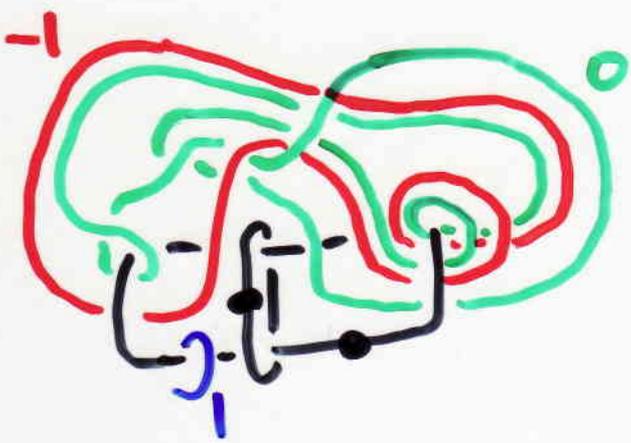
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sliding

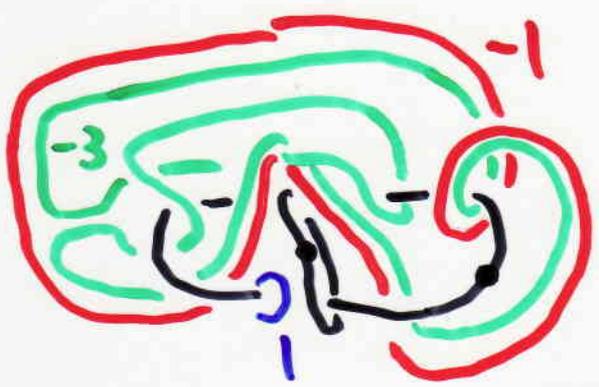
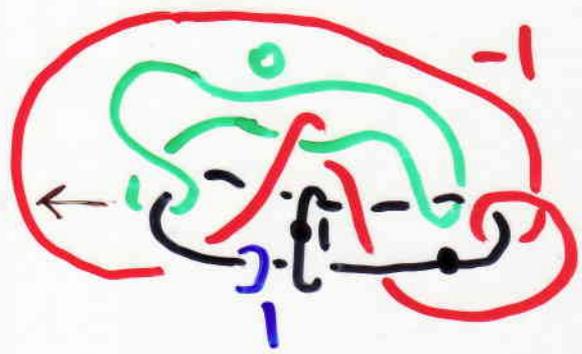


iso.

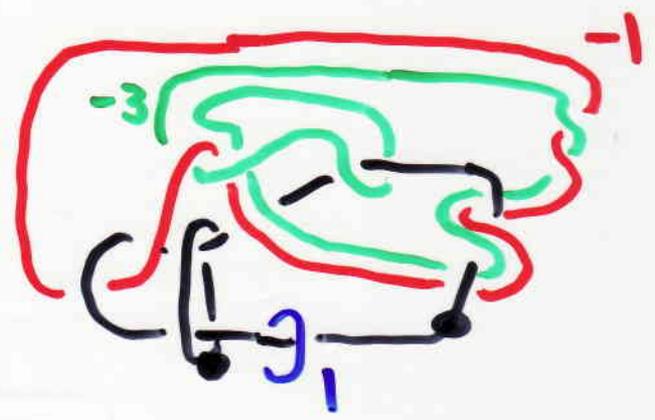


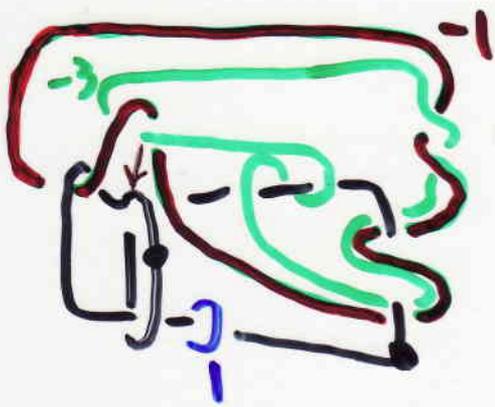
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sliding

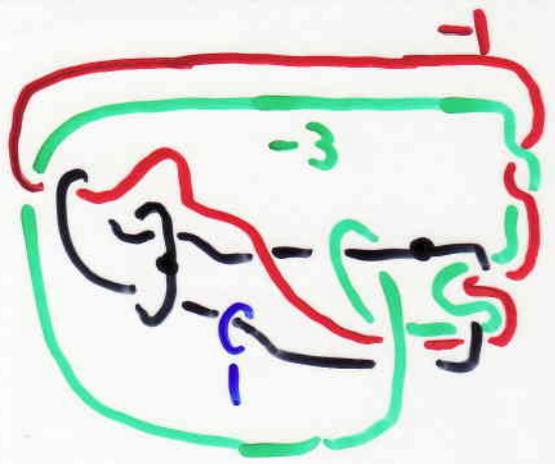


sliding

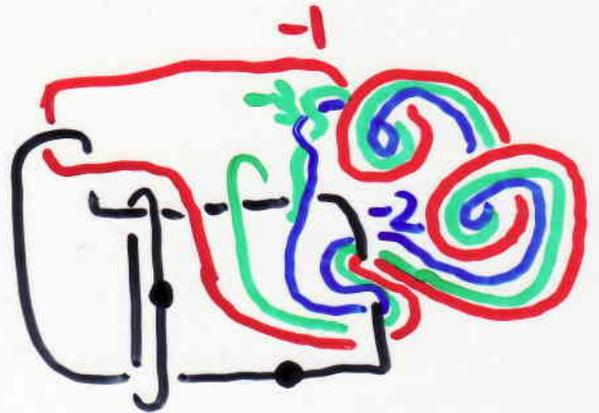
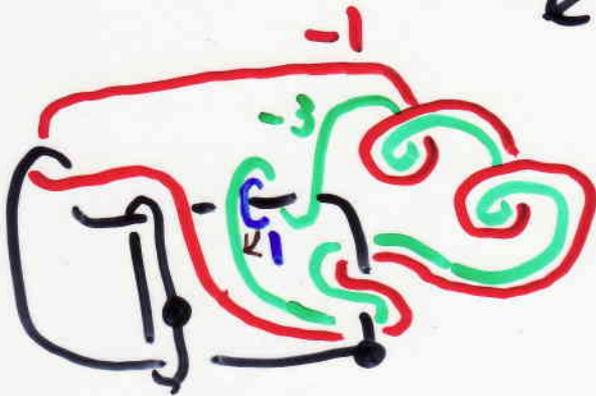




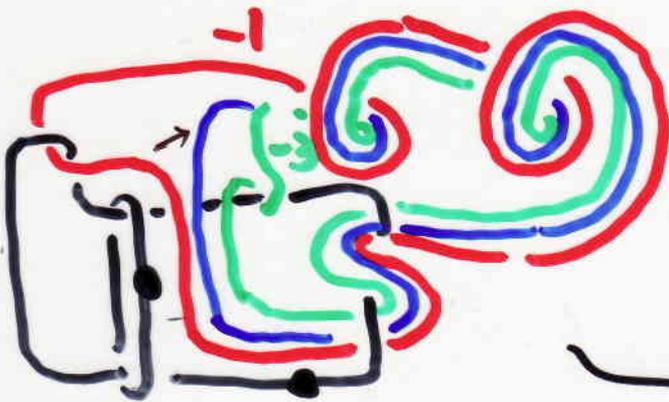
sliding  
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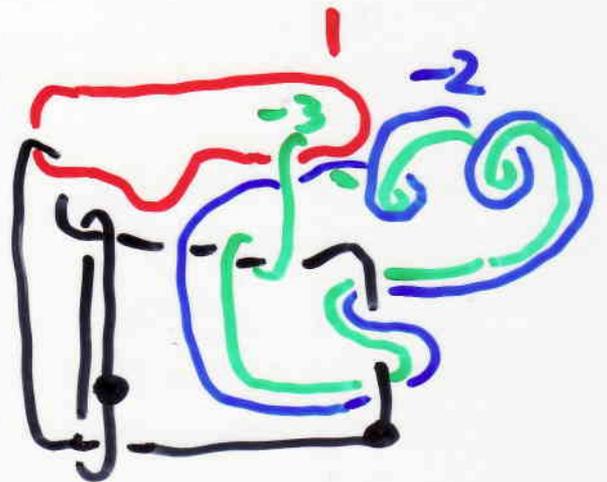
iso.  
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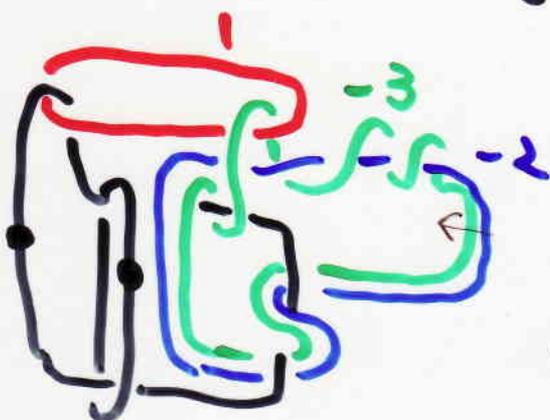
iso.  
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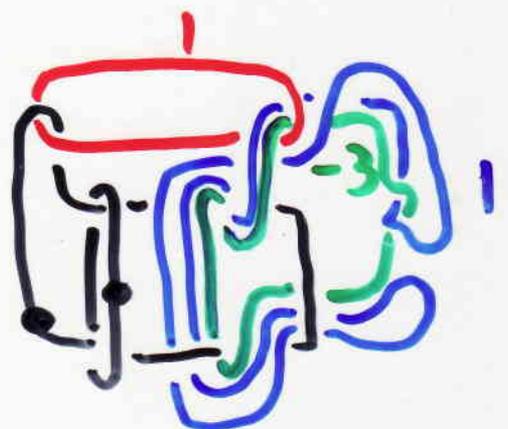
sliding  
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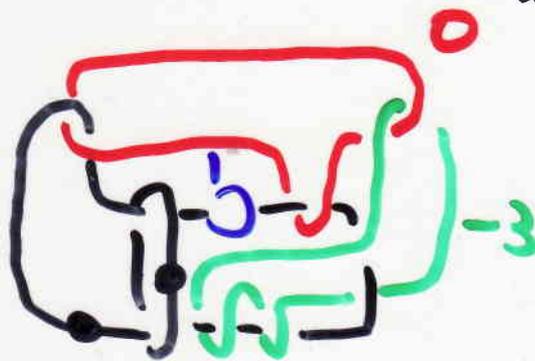
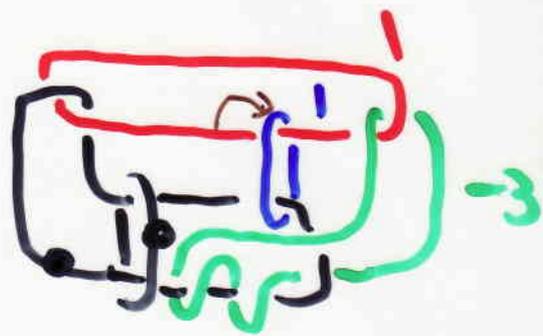
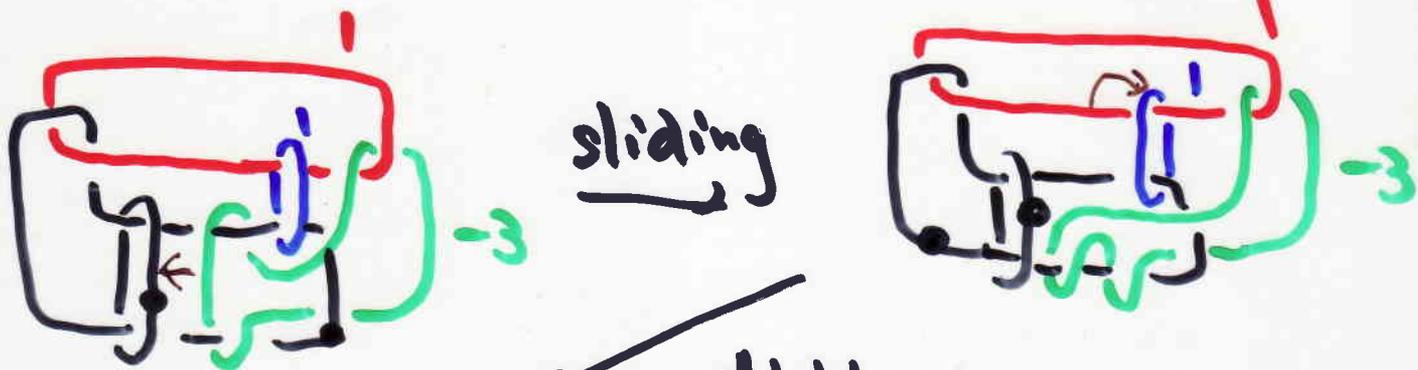


isotopy  
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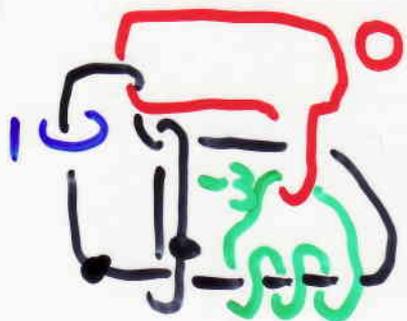


sliding  
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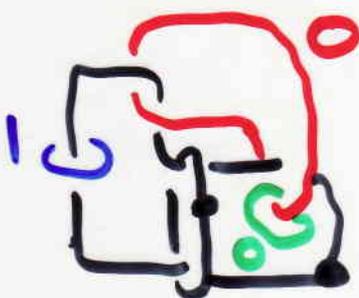




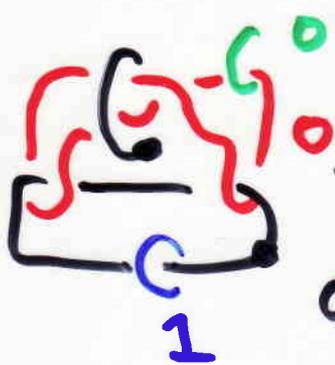
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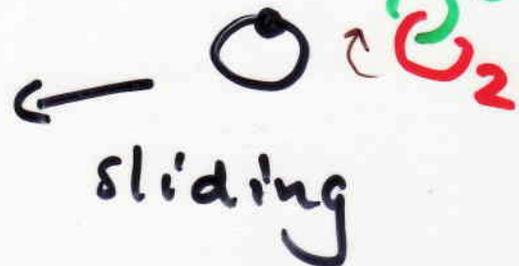
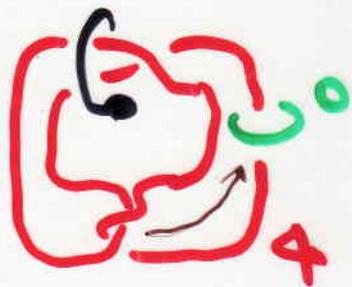
sliding formula.



iso.



cancelling



sliding

||  
 $S^3 \times S^1 \# S^2 \times S^2$