

大学院生向け講義 Generic Structure について

Akito Tsuboi

University of Tsukuba

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E. Hrushovski, A stable \aleph_0 -categorical pseudoplane, preprint, 1988.

Hrushovski's pseudoplane

Hrushovski constructed an ω -categorical (merely) stable pseudoplane, which gives a negative answer to the following Lachlan's conjectures:

- (C3) There exists no ω -categorical pseudoplane.
- (C1) If T is stable and ω -categorical then T is totally transcendental.

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Many interesting examples were constructed using Hrushovski's method.

- An almost strongly minimal set interpreting two algebraically closed fields of different characteristics (Hrushovski).
- An almost strongly minimal non-desarguesian projective plane (Baldwin)
- Ikeda's minimal structure,
- Herwig's structure of weight omega, pause
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Outline

1 Random graph

- 1 Definition
- 2 Existence
- 3 Properties

2 Fraïssé Limit

- 1 Definition
- 2 Existence
- 3 Properties

3 (K, \leq) -Generic Structure

- 1 Predimension δ
- 2 Dimension d
- 3 Stability of (K, \leq) -Generic

My talk today is based on:

References

- 1 Baldwin, John T.; Shi, Niandong, Stable generic structures, Ann. Pure Appl. Logic 79, No.1, 1-35 (1996).
- 2 Wilfrid Hodges, Model Theory (Encyclopedia of Mathematics and its Applications), Cambridge University Press, 2008
- 3 E. Hrushovski, A stable \aleph_0 -categorical pseudoplane, unpublished notes, 1988.
- 4 Frank O. Wagner, Relational structures and dimensions, in Automorphisms of First-Order Structures (Oxford Logic Guides), Oxford Univ Pr on Demand, 1994

Graph

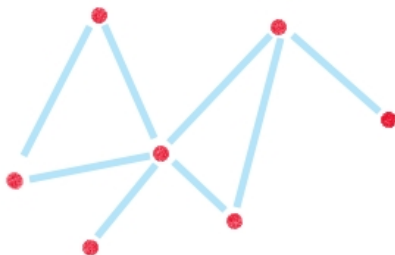
R is a binary relation symbol.

Definition

An R -structure G is said to be a graph if

- R is symmetric.
 $G \models \forall x \forall y [R(x, y) \rightarrow R(y, x)].$
- R is irreflexive.
 $G \models \forall x [\neg R(x, x)].$

Garph – Picture



A graph is something like this.

Remark

- There is an edge between vertices $a, b \in G$ if $R(a, b)$ holds in G .
- Our graph is an **undirected** graph.

Subgraphs

Let $G = (G, R^G)$ and $H = (H, R^H)$ be two graphs.

Subgraph

H is a subgraph of G if $H \subset G$ and $R^H \subset R^G$.

Full Subgraph

H is a full subgraph of G if $H \subset G$ and $R^H = R^G \cap H^2$.

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Notation

We simply write $H \subset G$ if H is a full subgraph.

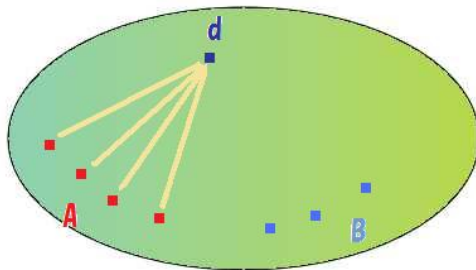
Random Graph

Definition

A graph $G = (G, R)$ is said to be a random graph if the following are satisfied

- For any two disjoint finite subsets $A, B \subset G$, there is $d \in G$ such that $G \models \bigwedge_{a \in A} R(a, d) \wedge \bigwedge_{b \in B} \neg R(b, d)$.

Random Graph – Picture



Remark

- If a random graph G exists, then it is an **infinite** graph:
Suppose that G has n elements a_1, \dots, a_n . Then there is $d \in G$ such that $\bigwedge R(a_i, d)$. By the irreflexiveness, $d \notin \{a_1, \dots, a_n\}$.
- The axiom T_{RG} of random graphs can be expressed by an infinite set of first order sentences.

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Existence

Theorem

A random graph exists.

A Proof

Proof.

- 1 Let G_0 be a one-point graph.
- 2 Inductively define $G_0 \subset G_1 \subset G_2 \cdots$ such that
 - for any $A, B \subset G_n$ ($A \cap B = \emptyset$) there is $d \in G_{n+1}$ such that $G_{n+1} \models \bigwedge_{a \in A} R(a, d) \wedge \bigwedge_{b \in B} \neg R(b, d)$.
- 3 $G = \bigcup_{n \in \omega} G_n$ is a (countable) random graph.



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Properties of Random Graphs

Theorem

A random graph embeds every finite graph (as a full subgraph).

Proof.

- 1 Let G be a random graph and H a finite graph.
- 2 Let $H = H_0 \cup \{e\}$. We can assume $H_0 \subset G$.
- 3 Let $A = \{a \in H_0 : R(a, e)\}$ and $B = \{b \in H_0 : R(b, e)\}$.
- 4 By T_{RG} , we can find $d \in G$ such that
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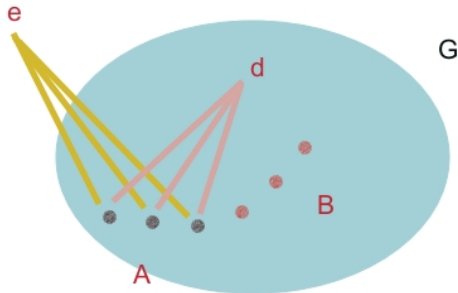


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Embedding – Picture



A similar argument shows

Theorem

A random graph embeds every countable graph.

Theorem

T_{RG} is complete and ω -categorical.

In other words, any two countable random graphs are isomorphic.

Proof.

- 1 Use a back-and-forth argument.
- 2 Let $G = \{g_i : i \in \omega\}$ and $H = \{h_i : i \in \omega\}$ be two random graphs.
- 3 Construct **finite partial isomorphisms** $\sigma_i : G \rightarrow H$ such that
 - $\emptyset = \sigma_0 \subset \sigma_1 \subset \sigma_2 \cdots$,
 - $g_0, \dots, g_j \in \text{dom}(\sigma_i) \ (j < i)$,
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Limit of Finite Graphs

A random graph can be considered as a limit of finite graphs.

Let K be the class of all (isomorphism types of) finite graphs.

A random graph G clearly has the following two properties:

- 1 Any finite $X \subset G$ is a member of K .
- 2 If $A \subset B \in K$ and $A \subset G$ then there is a copy $B' \subset G$ such that $B \cong_A B'$.

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Remark

Let us consider the following graphs G_n (finite random graph):

- $|G_n| = \{1, \dots, n\}$ (vertices).
- Add edges between them at random.

$$\text{Prob}(R(l, m)) = p = \text{const}, (l < m \leq n).$$

Then, for any R -sentence φ ,

$$\lim_{n \rightarrow \infty} (\text{Prob}(G_n \models \varphi)) = 1 \iff T_{RG} \models \varphi.$$

In particular,

$$\lim_{n \rightarrow \infty} (\text{Prob}(G_n \models \varphi)) = 0 \text{ or } 1,$$

(Fagin)

Now we work in a more general setting.

Class K

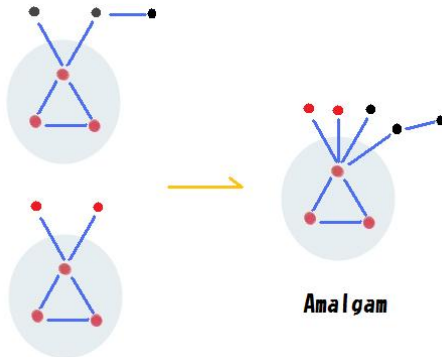
Let L be a (finite) relational language.

Let K be a class of (isomorphism types of) finite L -structures.

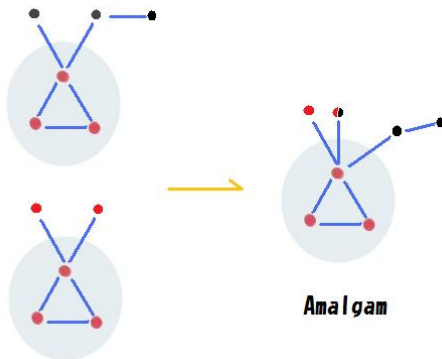
We assume the following:

- $\emptyset \in K$
- K is closed under substructures.
- AP (Amalgamation Property):
Suppose that $A \subset B_1 \in K$ and $A \subset B_2 \in K$. Then there is $\exists C \in K$ such that
 - $A \subset C$,
 - $\exists B'_1, B'_2 \subset C$ s.t. $B'_1 \cong_A B_1$, $B'_2 \cong_A B_2$

Free Amalgamation – Picture



Amalgamation – Picture



Free amalgam of B_1, B_2 over A will be denoted by

$$B_1 \oplus_A B_2$$

Sometimes the free amalgama is written as $B_1 \otimes_A B_2$ or $B_1 \amalg_A B_2, \dots$

The domain of $B_1 \oplus_A B_2$ is the disjoint union of B_1 and B_2 over A , and the relation on $B_1 \oplus_A B_2$ is the union of those on B_1 and B_2 .

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Examples of K

Example

Let K_g be the class of all finite **graphs**. Then K_g clearly has the AP.

Example

Let K_{tfg} be the class of all **triangle free** finite graphs. Then K_{tfg} has the AP.

A triangle consists of three points a, b, c such that
 $R(a, b) \wedge R(b, c) \wedge R(c, a)$.

Fraïssé Limit

Let K be a class of (isomorphism types of) finite L -structures. We always assume the following:

- $\emptyset \in K$
- K is closed under substructures.

Theorem

Suppose that K has the AP. Then there is a countable L -structure M with the following properties:

- 1** *Any finite $X \subset M$ is a member of K .*
- 2** *If $A \subset B \in K$ and $A \subset M$ then there is a copy $B' \subset M$ such that $B \cong_A B'$.*

A countable L -structure having the properties 1 and 2 will be called a Fraïssé Limit of K .

Fraïssé Limit is universal and homogeneous.

Theorem

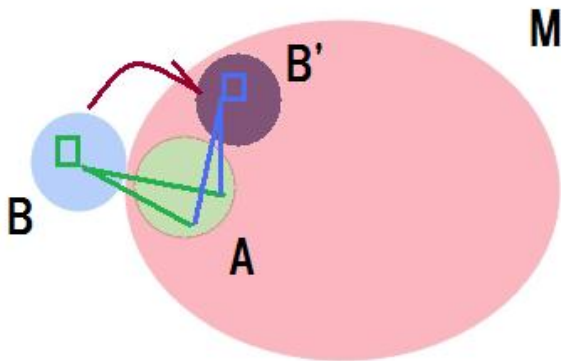
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Property 2 – Picture



A Proof

Proof.

- 1 Let (A_i, B_i) ($i \in \omega$) be an enumeration of all the pairs (A, B) with $A \subset B \in K$. (We assume any such pair appears infinitely many times.)
- 2 Using AP we can construct a sequence of **finite** L -structures $M_0 \subset M_1 \subset \dots$ such that for any i
 - $M_i \in K$,
 - $A_i \cong A \subset M_i \Rightarrow \exists B$ s.t. $B_i \cong_A B \subset M_{i+1}$.
- 3 Then $M = \bigcup_{i \in \omega} M_i$ has the required properties.



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Uniqueness

Theorem

For given \mathbf{K} , a Fraïssé Limit is unique up to isomorphism.

Proof.

Use a back-and-forth argument. □

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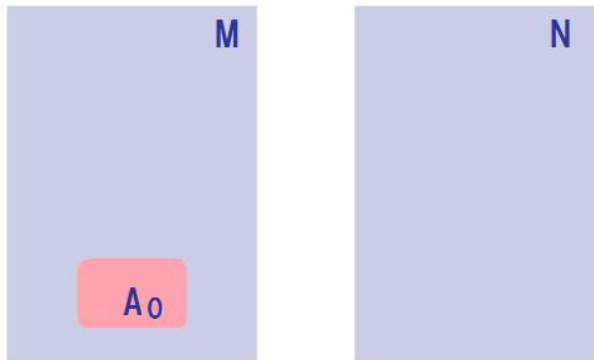
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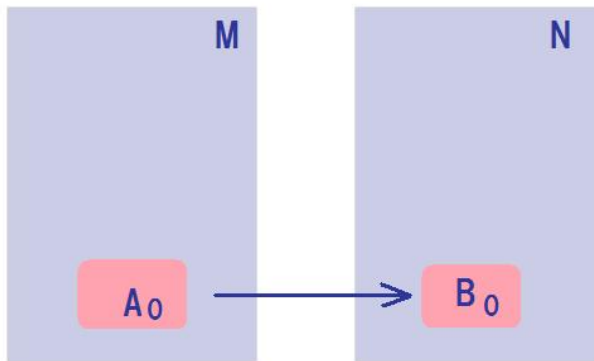
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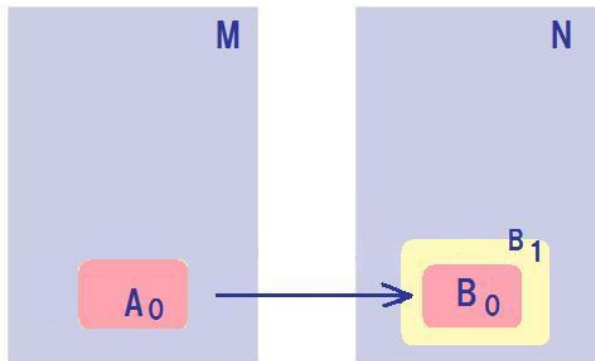
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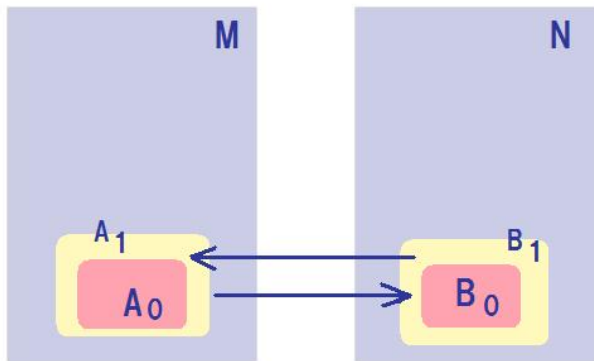
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Back-and-forth



Example

Let K_g be the class of all finite graphs. Then a (countable) random graph is a K_g -Fraïssé Limit.

Example

Let K_{tfg} be the class of all triangle free finite graphs. Then there is a unique K_{tfg} -Fraïssé Limit.

Hrushovski Amalgamation

K with Predimension

As before,

- $L = \{P_1, \dots, P_m\}$ is a (finite) relational language.

For simplicity, we only consider L -structures with

- $P_i(x_1, x_2, \dots, x_{n_i}) \rightarrow \bigwedge_{j \neq k} x_j \neq x_k$
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Let $L = \{P_1, \dots, P_n\}$.

Let $\alpha_1, \dots, \alpha_n$ be positive real numbers. Mainly $0 < \alpha_i < 1$.

Definition

For a finite L -structure A , the predimension of A (with respect to $\alpha_1, \dots, \alpha_n$) is defined by:

$$\delta(A) = \sum |A| - \alpha_i |P_i(A)|,$$

where $P_i(A)$ is the set of all n_i -element subsets $B \subset A$ satisfying P_i .

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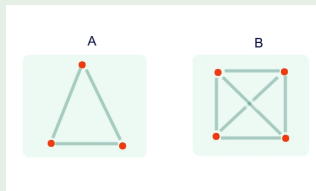
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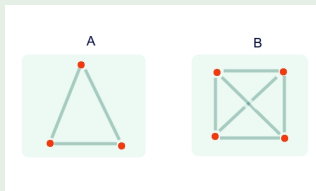


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Relative Predimension

Let A and B be subsets of a larger finite L -structure.

Definition

$$\delta(A/B) = \delta(AB) - \delta(B),$$

where AB denotes $A \cup B$.

Notice that $\delta(A/B) = \delta(A \setminus B/B)$.

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From now on we assume $L = \{R\}$. This is for simplicity only.

Lemma

Let $A \cap B = \emptyset$.

- 1 $\delta(A/B) = \delta(A) - \alpha|R(A, B)|$,
where $R(A, B)$ denotes the set of all edges between A and B .
- 2 (Monotonicity)
 $B_0 \subset B \Rightarrow \delta(A/B) \leq \delta(A/B_0)$.

From this, we know that if $A \cap B = A \cap C$ and $B \subset C$ then $\delta(A/B) \geq \delta(A/C)$.

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Proof.

- 1 $\delta(A/B) = \delta(AB) - \delta(B)$
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- 3 $= |A| - \alpha(|R(A)| + |R(B)| + |R(A, B)|) + \alpha|R(B)|$
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Proof.

- 1 $B_0 \subset B$ implies $R(A, B_0) \subset R(A, B)$.
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Strong Subset

Definition

Let $A \subset B$ be finite L -structures. We write $A \leq B$ if

$$A \subset C \subset B \Rightarrow \delta(C/A) \geq 0 \quad (\forall C).$$

If $A \leq B$, we say (i) A is a **strong subset** of B or (ii) A is **closed** in B .

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$$K_\alpha$$

$$L = \{R\}. \quad \delta(A) = |A| - \alpha|R(A)|.$$

$$K_\alpha = \{A : \emptyset \leq A\}.$$

Clearly K_α is closed under substructures. We consider K_α with \leq (strong subset relation).

Properties of (K_α, \leq)

Lemma

- 1 \leq is an order on K_α .
- 2 $\emptyset \leq A$
- 3 $A \leq B, C \subset B \Rightarrow A \cap C \leq C$.
- 4 In particular, $A \leq B, A \subset C \subset B \Rightarrow A \leq C$.

\leq is an order on K_α .

Proof.

- 1 It suffices to prove **transitivity**.
- 2 Let $A_0 \leq A_1 \leq A_2$ and $A_0 \subset X \subset A_2$.
- 3 $\delta(X/A_0) = \delta(X/X \cap A_1) + \delta(X \cap A_1/A_0)$
- 4 $\geq \delta(X/X \cap A_1)$
- 5 $\geq \delta(X/A_1)$ (Monotonicity)
- 6 ≥ 0 .
- 7 So $A_0 \leq A_2$.



$$A \leq B, C \subset B \Rightarrow A \cap C \leq C.$$

Proof.

- 1 Assume $A \leq B, C \subset B$.
- 2 Let $A \cap C \subset X \subset C$.
- 3 $\delta(X/A \cap C) = \delta(X \setminus A/A \cap C)$
- 4 $\geq \delta(X \setminus A)$
- 5 ≥ 0 .
- 6 This shows $A \cap C \leq C$.



Amalgamation Property

Lemma

Let $A \leq B \in K_\alpha$ and $A \leq C \in K_\alpha$. Then $D = B \oplus_A C$ has the following properties:

- 1** $D \in K_\alpha$
- 2** $B \leq D$ and $C \leq D$.

$$B \leq D \text{ and } C \leq D.$$

Proof.

- 1 We want to show $B \leq D$.
- 2 Let $B \subset X \subset D$. We show $\delta(X/B) \geq 0$.
- 3 $\delta(X/B) = \delta(X \setminus B) - \alpha|R(X \setminus B, B)|$
- 4 $= \delta(X \setminus B) - \alpha|R(X \setminus B, A)|$ (by freeness)
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$$D = B \oplus_A C \in K_\alpha$$

- 1 Let $X \subset D$. We want to show $\delta(X) \geq 0$.
- 2 $\delta(X) = \delta(X \setminus B / X \cap B) + \delta(X \cap B)$
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(K_α, \leq) -generic structure

Theorem

There is a countable structure M having the following properties:

- 1** *Any finite $X \subset M$ is a member of K_α .*
- 2** *If $A \leq B \in K$ and $A \leq M$ then there is a copy $B' \leq M$ such that $B \cong_A B'$.*

$A \leq M$ is an abbreviation of the statement $A \leq F$ ($\forall F \subset_{\text{fin}} M$).

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Conclusion

Theorem

Let (K, \leq) be a subclass of (K_α, \leq) with the AP. Then there is a (K, \leq) -generic structure.

Conclusion

Or more generally:

Theorem

Let (K, \leq) be a class of finite L -structures satisfying AP (+ some necessary conditions on \leq). Then there is a (K, \leq) -generic structure M such that

- 1** *Any finite $X \subset M$ is a member of K .*
- 2** *If $A \leq B \in K$ and $A \leq M$ then there is a copy $B' \leq M$ such that $B \cong_A B'$.*

Uniqueness

Closed Finite Sets

For showing the uniqueness of a (countable) (K, \leq) -generic structure, we need to construct a tower of partial isomorphisms between closed finite subsets.

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Definition

Let $A \subset M$. A is called a **closed** subset of M if for any finite $B \subset M$,

$$A \cap B \leq B.$$

If A_0 is finite then A_0 is closed $\iff A_0 \leq M$. So, even if A is infinite, we write $A \leq M$ if A is closed in M .

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Remark

- 1 M itself is a closed set.
- 2 $C_1 \leq M$ and $C_2 \leq M$ then $C_1 \cap C_2 \leq M$.
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Remark

- 4 Suppose that there is no infinite sequence $A_0 \subset A_1 \subset A_2 \cdots$ of K -sets such that

$$\delta(A_0) > \delta(A_1) > \cdots .$$

Then, for any $N \equiv M$,

$$A \subset_{\text{fin}} N \Rightarrow \overline{A} \subset_{\text{fin}} N.$$

Finite Closure Property

Example

- 1 For $\alpha \in Q$, K_α has the finite closure property.
- 2 Suppose that there is an increasing function $f : \omega \rightarrow \mathbb{R}$ such that
 - $\lim_{n \rightarrow \infty} f(n) = \infty$
 - $A \in K \Rightarrow \delta(A) \geq f(|A|)$.

Then K has the finite closure property.

Conclusion

Theorem

Let (K, \leq) be a class of finite L -structures satisfying *AP+ Finite Closure Property*. Then there is a unique (K, \leq) -generic structure M :

- 1 Any finite $X \subset M$ is a member of K_α .
- 2 If $A \leq B \in K$ and $A \leq M$ then there is a copy $B' \leq M$ such that $B \cong_A B'$.

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On Saturation of Generic Structures

A generic structure need not to be ω -saturated.

We assume that (K, \leq) has AP and Finite Closure Property.

Theorem

Let M be a (K, \leq) -generic structure. The following conditions are equivalent:

- 1** *M is ω -saturated.*
- 2** *For any $N \equiv M$, $A \leq N$, $A \leq B \in K$ and $n \in \omega$, there is $B' \leq_n N$ such that $B \cong_A B'$.*

$X \leq_n Y$ (n -closedness) is the statement that $X \leq XZ$ for any $Z \subset Y$ with $|Z| \leq n$.

1 implies that any N is n -generic.

Proof: 1 \Rightarrow 2.

- 1 Suppose that 2 is not the case.
- 2 For some $n \in \omega$, $A \leq B \in K$, The following set $\Gamma(X)$ is consistent with $T = Th(M)$.
 - $X \cong A$ is a closed set
 - $(X, Y) \cong (A, B) \Rightarrow Y$ is not n -closed, for any Y .
- 3 By saturation, there is $A' \subset M$ realizing Γ . But then M is not a generic structure.



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2 implies that M is saturated.

Proof: 2 \Rightarrow 1.

- 1 Condition 2 implies that any ω -saturated model N of T has the following property:

$$A \leq B \in K, A \leq N \Rightarrow \exists B' \leq N, B \cong_A B'.$$

- 2 So any finite partial isomorphism σ between closed sets $A \leq M$ and $A_1 \leq N$ can be extended to $\sigma^* : M \rightarrow N$, $\sigma^*(M) < N$.
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Conclusion

If a generic structure M is ω -saturated, then any κ -saturated $N \equiv M$ has the following property:

$$A \leq N, A \leq B \in^* K, |B| < \kappa \Rightarrow \exists B' \leq N, B' \cong_A B.$$

Let M be a (K, \leq) -generic structure, where (K, \leq) has the finite closure property. Let $N \equiv M$.

Definition (Dimension)

Let $A \subset N$ be a finite set.

$$d(A) = \inf\{\delta(B) : A \subset B \subset_{\text{fin}} N\} = \delta(\overline{A})$$

Definition (Relative Dimension)

$d(a/A) := d(aA) - d(A)$ (A is finite).

Lemma (Monotonicity)

- 1 $A \subset B \Rightarrow d(A) \leq d(B).$
- 2 $a \subset b \Rightarrow d(a/A) \leq d(b/A).$
- 3 $A \subset B \Rightarrow d(a/A) \leq d(a/B).$

$$A \subset B \Rightarrow d(A) \leq d(B).$$

Proof.

- 1 $d(A) = \inf\{\delta(X) : A \subset X\}, d(B) = \inf\{\delta(X) : B \subset X\}.$
- 2 Since $A \subset B$, $\{\delta(X) : A \subset X\} \supset \{\delta(X) : B \subset X\}.$
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$$A \subset B \Rightarrow d(A) \leq d(B).$$

Proof.

- 1 $d(A) = \inf\{\delta(X) : A \subset X\}, d(B) = \inf\{\delta(X) : B \subset X\}.$
- 2 Since $A \subset B$, $\{\delta(X) : A \subset X\} \supset \{\delta(X) : B \subset X\}.$
- 3 So we conclude $d(A) \leq d(B).$



$$A \subset B \Rightarrow d(a/A) \leq d(a/B).$$

Proof.

1 We can assume $\overline{A} = A$ and $\overline{B} = B$.

$$2 \quad d(a/A) = \delta(\overline{Aa}) - \delta(A) \geq \delta(\overline{Aa}) - \delta(\overline{Aa} \cap B)$$

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Remark

By monotonicity, for not necessarily finite A , we can define

$$d(a/A) = \inf\{d(a/A_0) : A_0 \subset_{\text{fin}} A\}.$$

Lemma

Let A, B, C be closed finite sets with $A = B \cap C$. Suppose $d(B/C) = d(B/A)$. Then $BC = B \oplus_A C$ and BC is closed.

Proof.

$$1 \quad d(BC) = d(B/C) + d(C)$$

$$2 \quad = d(B/A) + d(C)$$

$$3 \quad = d(B) + d(C) - d(A)$$

$$4 \quad = \delta(B) + \delta(C) - \delta(A)$$

$$5 \quad \geq \delta(BC).$$

6 Since $d(BC) \leq \delta(BC)$, we have $d(BC) = \delta(BC)$, hence BC is closed.

7 By $\delta(B) + \delta(C) - \delta(A) = \delta(BC)$, $R(BC) \subset R(B) \cup R(C)$, so $BC = B \oplus_A C$.

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By an $\varepsilon - \delta$ type argument, for not necessarily finite A and $A_0 \subset A$, we have the following:

(*) Suppose

$$d(a/A_0) = d(a/A) \text{ and } \overline{A_0 a} \cap \overline{A} = \overline{A_0}.$$

Then

$$\overline{Aa} = \overline{A_0 a} \oplus \overline{\frac{A}{A_0}} \leq N.$$

Theorem

Let M be an ω -saturated (K, \leq) -generic structure. Then $T = Th(M)$ is stable.

We work in a sufficiently saturated $N \equiv M$.

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Proof of Stability

Proof.

- 1 Let A be a closed subset of N with $|A| = 2^\omega$.
- 2 We show that $|S(A)| = 2^\omega$.
- 3 Let $\text{tp}(a/A) \in S(A)$. We can choose a countable closed A_0 such that $d(a/A_0) = d(a/A)$ and $\overline{A_0 a} \cap A = A_0$.
- 4 Then $\overline{Aa} = \overline{A_0 a} \oplus_{A_0} A \leq N$.
- 5 So the information of $\text{tp}(a/A)$ is completely included in $\text{tp}(a/A_0)$.
- 6 So $|S(A)| = |A^\omega| \times |S(A_0)| = 2^\omega$.



Hrushovski's pseudoplane

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- 1 What is pseudoplane?
- 2 What is \mathbf{K} in this case?

Pseudoplane

A pseudoplane is a triple (P, L, I) with the following properties:

- Every line $l \in L$ has infinitely many points $p \in P$.
- (Its dual) Every point $p \in P$ lies on infinitely many lines $l \in L$.
- For any distinct points $p \neq q \in P$, at most finite number of lines $l \in L$ pass both p and q .
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For defining K (a class of finite graphs), Hrushovski defined a function $f : \omega \rightarrow \mathbb{R}$ and $\alpha \in \mathbb{R}$ such that

- 1 f increases very slowly.
- 2 $\lim f = \infty$
- 3 $f(4) > 4 - 4\alpha = \delta(\square)$.

K is the class of all finite graphs A such that, for every $A_0 \subset A$, $f(|A_0|) \leq \delta(A_0)$.

From 1, we have the (free) AP.

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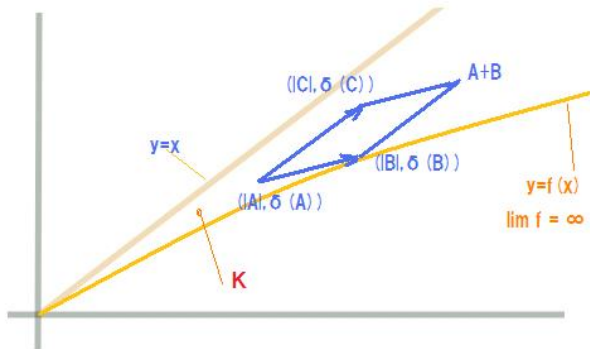
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