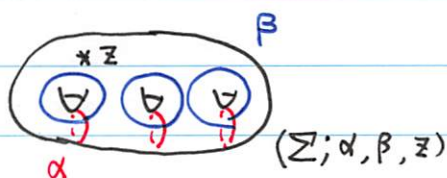


微分トポロジ-

Heegaard Floer homology & cosmetic surgery: 近年の発展の概説

§ 1. Cheating tour of Heegaard Floer theory

- (pointed) Heegaard diagram $\rightsquigarrow$ closed, oriented 3-manifold Y



$\Downarrow$

(spin^c structure $\mathfrak{s}$) filtered chain complex $CF^{\infty} (= CF^{\infty}(\Sigma; \alpha, \beta, z))$

over $\mathbb{Z}[U, U^{-1}]$

(well-defined up to chain homotopy)

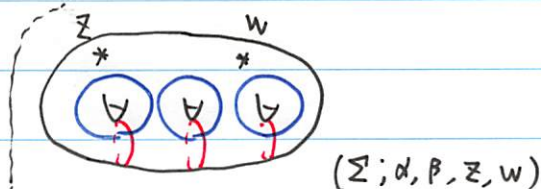
$\Downarrow$ quotient/subcomplex

various complexes $CF^+, CF^-, \widehat{CF}, \dots$

$\Downarrow$ homology

$HF^+, HF^-, \widehat{HF}, HF_{\text{red}}$

- Doubly pointed Heegaard diagram $\rightsquigarrow$ knot $K \subset Y$



$\Downarrow$

bi filtered chain complex $CFK^{\infty}(K) (= CFK^{\infty}(\Sigma; \alpha, \beta, z, w))$

graded over $\mathbb{Z}[U, U^{-1}]$

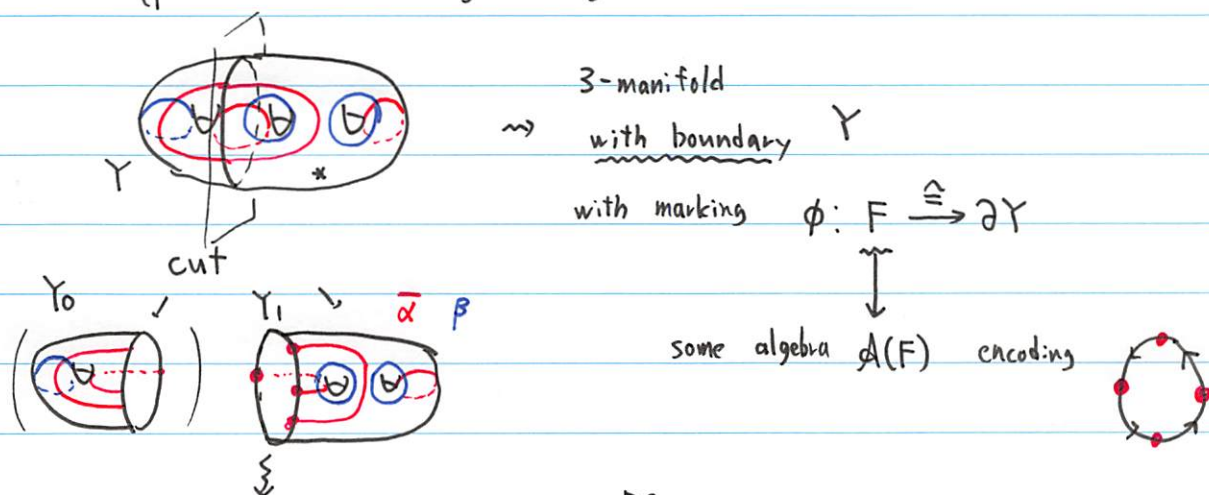
$\Downarrow$

various complexes $CFK^+, CFK^-, \widehat{CFK}, \dots$

$\Downarrow$ homology

$HF^+, HF^-, \widehat{HF}$

• (pointed) Bordered Heegaard diagram



type D module $\widehat{CFD}(Y)$ DG $A(F)$ -module
type A module $\widehat{CFA}(Y)$ A_{∞} $A(F)$ -module $\left(\begin{array}{l} \text{chain complex} \\ + \text{product (action)} \end{array} \right)$

Theorem (Lipshitz-Ozsvath-Thurston)

$$\widehat{HF}(Y) = H \left(\widehat{CFA}(Y_0) \otimes_{A(F)} \widehat{CFD}(Y_1) \right)$$

(homology)

※ (Holomorphic diskなどのキコを無視して)

Heegaard Floer で現れる対象は複雑で分かりにくい...

Hanselman-Rasmussen-Watson

$\widehat{CFD}(Y)$ を F 上の immersed curve として (ほぼ) 等価に記述できる

↓

Hanselman

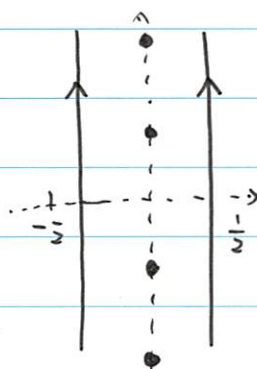
cosmetic surgery への応用

§2. Immersed curve invariant.

$Y = S^3 \setminus N(K)$: knot exterior

$$T = \partial Y = \mathbb{R}^2 / \mathbb{Z}^2$$

$\bar{T} = \mathbb{R} / \mathbb{Z} \times \mathbb{R}$ with marked points $(0, \frac{1}{2} + \mathbb{Z})$ (puncture)
 $\uparrow \quad \uparrow$
 longitude meridian



knot K について 次ような Invariant

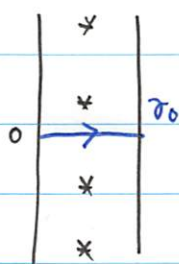
$\hat{\Gamma}(K) = \{\gamma_0, \dots, \gamma_n, \text{"grading arrow"}\}$ が定まる

- $\gamma_i \subset \bar{T}$: immersed curve,  を持たない
- γ_0 は $-\frac{1}{2} \times \mathbb{R}$ と ± 1 回 正に交わる
 γ_i ($i > 0$) は $-\frac{1}{2} \times \mathbb{R}$ と 交わらない
- γ_i ($i > 0$) の 各 marked point についての winding number の和 = 0
- "grading arrow" は γ_i と γ_j を つなぐ weight の 上を 経る



example.

Unknot



Trefoil

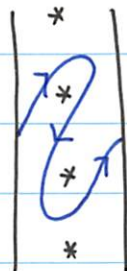
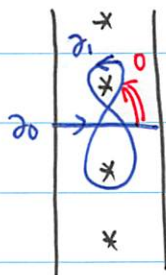
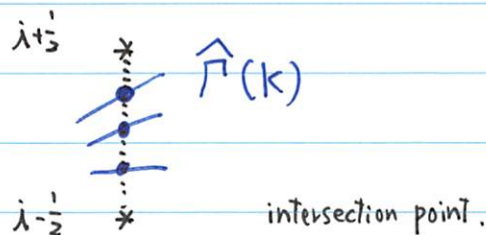


Figure eight



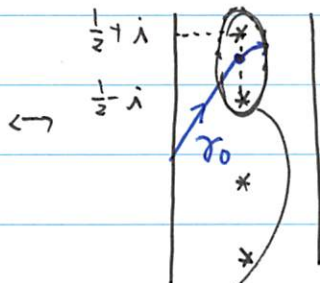
$\widehat{H}(K)$ からどのように HF の情報が読みとれるか？

- Alexander grading is part of $\widehat{HFK} \leftrightarrow$

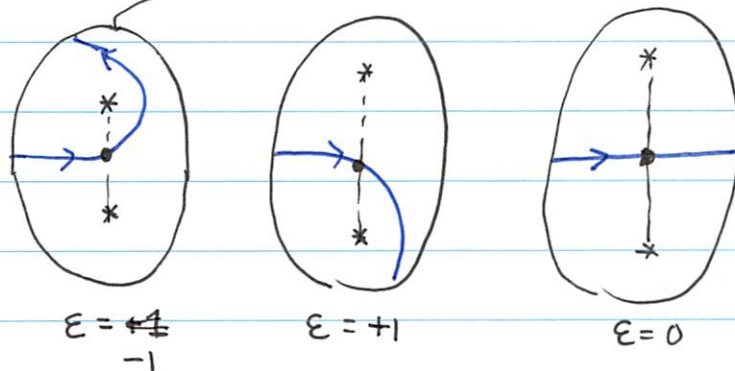


- $\mathbb{Z}$ -invariant

$$\mathbb{Z}(K) = \lambda$$



- $\mathbb{Z}$ -invariant



- Maslov grading / Knot Floer chain complex CFK^∞

$$CFK^\infty = \mathbb{Z}[\partial, \partial^*]\text{-module generated by}$$



intersections.

slightly split/perturb marked points

bigon from x to z

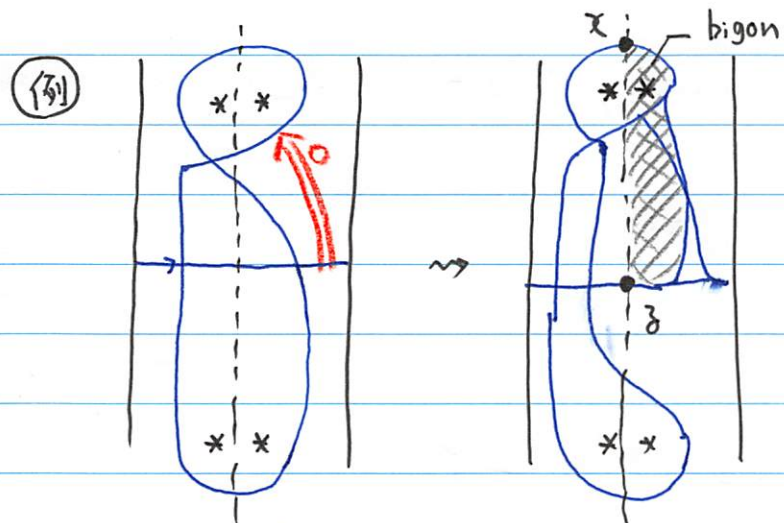
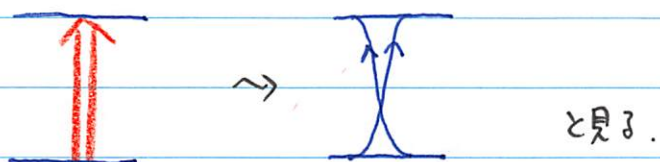


$$n_w = \# \text{ } w \text{ in bigon}$$

$$n_z = \# \text{ } z \text{ in bigon}$$

$$\partial x = \sum_z \left[x \text{ to } z \text{ in bigon} \right] z$$

grading arrow を通る bigon を考えることで
(relative) Maslov grading も分かる.



x と y の Maslov grading の差 $= -1 + 2n_w + (\text{weight の和})$

• $\widehat{\Pi}(K)$ は (ほぼ) CFK^∞ , CFD と等価であった.

$\downarrow$ surgery formula $\downarrow$ glueing formula

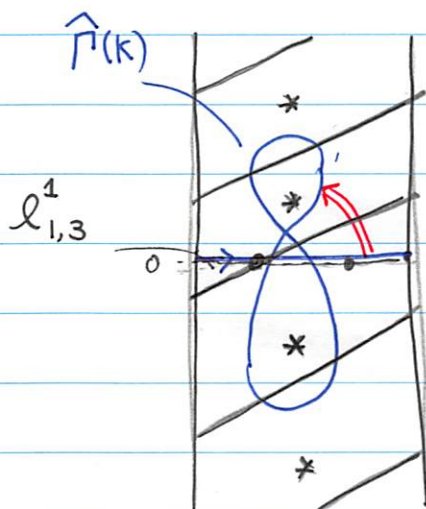


$\widehat{\Pi}(K)$ version surgery formula.

$$\widehat{HF}(S^3_K(p/8), i) = HF(\widehat{\Pi}(K), \mathcal{L}_{p,8}^i)$$

$\uparrow$
 intersection
 Floer homology

$\uparrow$
 $(0, -\frac{1}{2} + \frac{i}{8} + \epsilon)$ を通る傾 $\pm p/8$ の直線

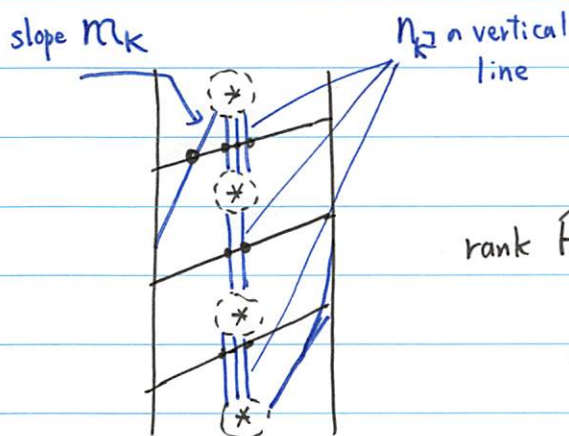


If $\widehat{\Pi}(K)$ and $\mathcal{L}_{p,8}^i$ minimally intersect,

$HF(\widehat{\Pi}(K), \mathcal{L}_{p,8}^i)$ is generated by
intersection points

(relative grading は grading arrow が読める)

ex.) rank formula



$$\text{rank } \widehat{HF}(S^3_K(p/8)) = \widehat{\Pi}(K) \text{ と } \mathcal{L}_{p,8}^i \text{ の交点数}$$

$$= |p - m_K 8| + |n_K 8|$$

$\uparrow$
 slope m_K
 curve との交点数

$\uparrow$
 vertical line
 との交点数

put $\widehat{\Pi}(K)$ in the
above form.

§3 Cosmetic surgery

(Purely) cosmetic surgery conjecture

$$S_K^3(r) \cong S_K^3(r') \Rightarrow r = r'$$

Heegaard Floer homology 1=53 結果

o Ozsvath-Szabo

Rational surgery formula of $HF^+(S_K^3(r))$, $\widehat{HF}(S_K^3(r))$

slope $r + CFK^\infty$ determines HF^+ , $\widehat{HF}$

$\leadsto$ rank formula $\text{rank}(\widehat{HF}(S_K^3(p/g))) = |p - m_K g| + n_K |g|$

If $\leadsto \text{rank } \widehat{HF}(S_K^3(p/g)) = \text{rank } \widehat{HF}(S_K^3(p/g'))$

$\Rightarrow \cdot g g' \leq 0$ or $S_K^3(p/g) \neq L\text{-space}$

o Ni-Wu

surgery formula of d-invariant ($\leftarrow$ absolute grading of certain generator)

If $p/g > 0$ $d(S_K^3(p/g), i) = d(L(p, g), i) - 2 \max \left\{ \underset{\substack{v_i \\ 0}}{T_{\lfloor \frac{i}{g} \rfloor}}, \underset{\substack{w_i \\ 0}}{H_{\lfloor \frac{i-p}{g} \rfloor}} \right\}$ $\downarrow$ comes from surgery formula of HF^+

$\leq d(L(p, g), i)$

Casson invariant

equality $\Leftrightarrow H_0 = T_0 = 0$

If $\leadsto \begin{cases} \lambda(S_K^3(p/g)) = \lambda(S_K^3(p/g')) \\ d(S_K^3(p/g)) = d(S_K^3(p/g')) \end{cases}$

$\Rightarrow d(S_K^3(p/g)) \leq d(L(p, g)) = -2p\lambda(L(p, g))$

$gg' < 0 \Rightarrow$

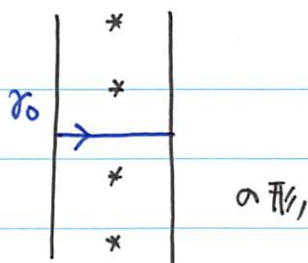
$d(S_K^3(p/g)) \geq d(L(p, g')) = -2p\lambda(L(p, g'))$

全て等号に なる

$\Rightarrow H_0 = T_0 = 0 \Rightarrow m_K = 0 \Rightarrow |g| = |g'|$

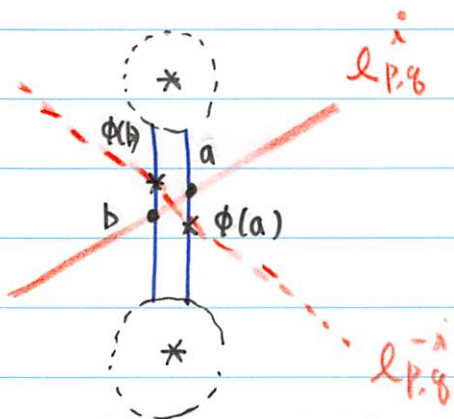
$\hookrightarrow \tau = 0$

⇒ 特に $\hat{\Gamma}(k)$ において γ_0 は



の $\mathbb{R}/\mathbb{Z}$

Hanselmann



canonical 1-to-1 correspondence

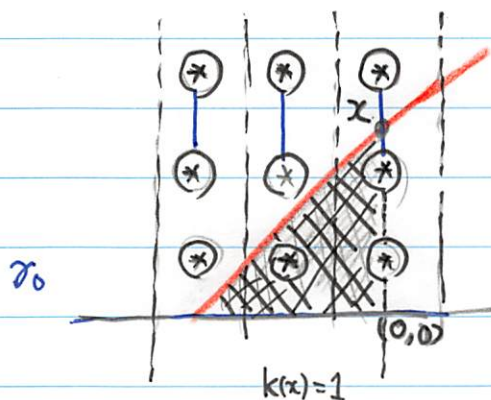
$$\ell_{p,q}^i \cap \hat{\Gamma}(k) \xleftrightarrow{\phi} \ell_{p,q}^{-i} \cap \hat{\Gamma}(k)$$

$$\downarrow$$

$$x \longmapsto \phi(x)$$

(this gives ungraded isomorphism

$$\phi: \widehat{HF}(S_k^3(p/q), i) \xrightarrow{\cong} \widehat{HF}(S_k^3(p/q), i)$$



Lemma. x と $\phi(x)$ の ~~relative~~ grading の差 (relative)

$$\Delta_{rel}(x) = 1 - 2|A(x)| - 4k(x)$$

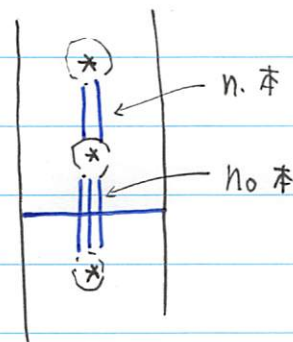
$\uparrow$ x の $\frac{1}{p}$ $\uparrow$ $\mathbb{R}$ 上の Marked point の数 (= Alexander grading)

Hanselmann

$$S_k^3(p/q) \cong S_k^3(-p/q)$$

$$\Rightarrow \begin{aligned} & \bullet p/q > 1 \Rightarrow p/q = 2, g(k) = 2, n_0 = 2n_1 \\ & \bullet p/q < 1 \Rightarrow p = 1, g = \frac{n_0 + 2 \sum n_s}{4 \sum s^2 n_s} \end{aligned}$$

$$th(k) \geq 2g g(0-1) - 2g$$



(Outline)

$$S_K^3(p/q) \cong S_K^3(p/q)$$

$\Rightarrow \exists$ permutation $\sigma: \{1, \dots, p\} \rightarrow \{1, \dots, p\}$

$$\widehat{HF}(S_K^3(p/q), i) \cong \widehat{HF}(S_K^3(p/q), \sigma(i))$$

* Spin^c structure

の番号付けは surgery 表示に depend.

$$\widehat{HF}(S_K^3(p/q), i)$$

$$\widehat{HF}(S_K^3(-p/q), i)$$

これは限定的 ∇_{Δ}

analysis of rank

$\Rightarrow \sigma(i) = i$ for $i \neq 0, \dots, r$ ($r=8$ if $p>8$)
 $i=0, \dots, r$

$$\Rightarrow \phi: \widehat{HF}(S_K^3(p/q), i) \rightarrow \widehat{HF}(S_K^3(-p/q), i)$$

が grading を保つ同型とて確か調べる

• Knot 9_{44}

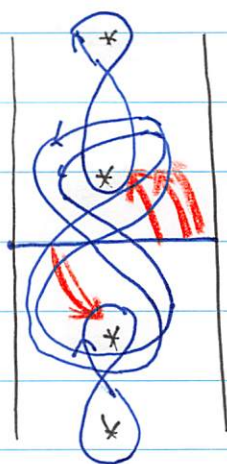
$K=9_{44}$

$$HF^+(S_K^3(1)) \cong HF^+(S_{-1}^3(1))$$

Heegaard Floer については

区別できない...

$\hat{\Gamma}(K)$



Cosmetic surgery conjecture

は Heegaard Floer だけでは解けない ∇_{Δ}

少なくとも現在の